

Conjecture - Analysis Model for Integrating Sustainability in Design Pedagogy

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by

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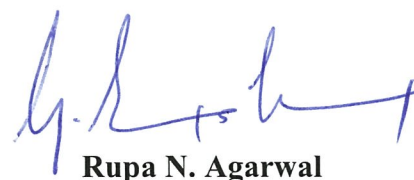
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Rupa N. Agarwal

Abstract

Design will do well to have sustainability as the meta-objective of every design project. But concerns expressed in design discourse show that this is not so. Even though there are many approaches, techniques, metrics and indices for sustainability, it is not effectively integrated into design. It is the quest of this study to find the reasons why effective integration of sustainability into design does not happen. One of the major gaps found, was that designerly ways of dealing with sustainability was missing. Adopting sustainability measures developed in other domains do not have great success in design. The premise therefore is that design is not responding to sustainability with its true nature. The larger purpose of this enquiry is therefore to align the ontological nature of design with the epistemology of sustainability. This alignment can be achieved through conjectures. Further, it is proposed that sustainability can be addressed effectively in the domain of design through Conjecture Analysis Model for Sustainability (CAMS).

Conjectures are whole or partial design solutions, which have been implemented in previous instances. These solutions may come from the personal experience of the designer or from an external source. The conjectures further find application in new design situations. A pragmatic view has therefore been taken to integrate sustainability conjectures in the C/A model. It was found that this model could be leveraged as a pedagogic tool to integrate sustainability conjectures in student design projects.

Use of conjectures is not new in design pedagogy, in fact the Conjecture/Analysis model, it has been argued, is more suitable for design. But the fact is that Conjecture analysis is not being used in a structured or usable form nor has been represented in a visual form as a model. Limited efforts are made in the direction of articulating C/A model, and its application in sustainability pedagogy is lacking. The overarching methodology is action research. The main purpose of action research is to improve practice through developing reliable procedures to guide students towards sustainability. This methodology has guided this study in two ways.

1. To develop the model progressively along the action research stages.
2. To use action research as theory in action by demonstrating that conjectures are the link between the theoretical constructs of design ontology and sustainability epistemology.

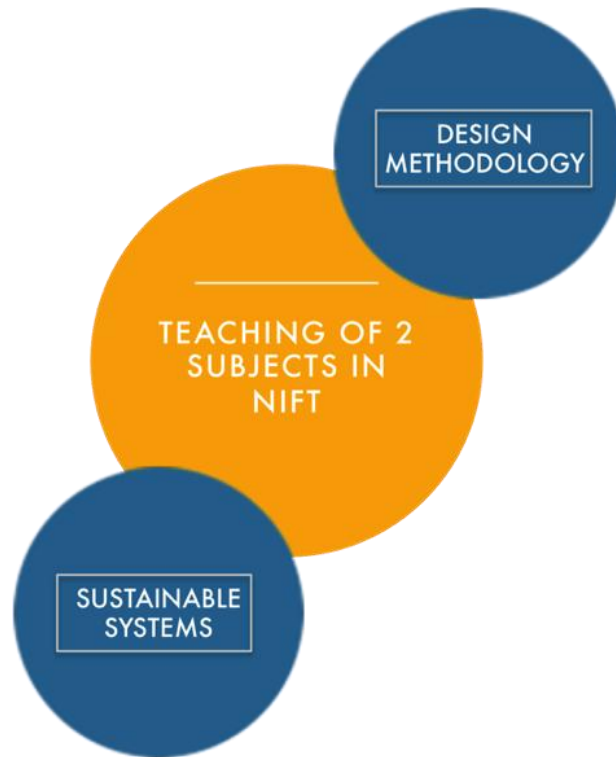
The research contributions are

1. Developing a model representation for pedagogy of Conjecture/Analysis for Sustainability (CAMS). It is essentially a model for converting unsustainability consequences into sustainability conjectures. It is proposed that this model should be used as a teaching-learning aid for sustainability.
2. To expand the vocabulary of conjectures by identifying typologies used in design process.

One of the main challenges facing the development of the model is to address not only environmental sustainability issues but include other aspects of sustainability such as social, cultural and lifestyle and consumption patterns. By doing this, the generalizability of the model increases to a wider range of design problems and has relevance to different design disciplines.

Organization of Chapters

Chapter 1: Introduction

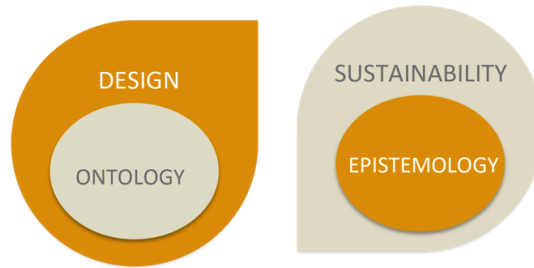


The motivation for this research comes from the teaching experience of the author which gives rise to a fundamental question. The question is whether the method of teaching sustainability is entirely different from the way design is taught? The answer to the question is in the negative. This answer guides the research, to articulate the barriers to integration of design and sustainability in pedagogy and find ways to deal with them.

The thesis postulates that design and sustainability can find a common ground to address the unsustainability that surrounds us. The common ground is where the basic defining features of design (ontology) and knowledge of sustainability (epistemology), come together. The larger purpose of this enquiry is therefore to align the ontological features of design with the epistemology of sustainability discourse. This alignment will be looked at with a lens of design pedagogy where the aim is to find a suitable design pedagogic method for sustainability. An overview of the two large domains of design and sustainability is gained to understand the historical development and some main concerns with regards to the two domains.

The thesis takes the stance of theory building where existing theories are questioned and thereby understood. Through this approach a new theoretical understanding is gained.

Chapter 2: Conceptual, Theoretical and Methodological frameworks



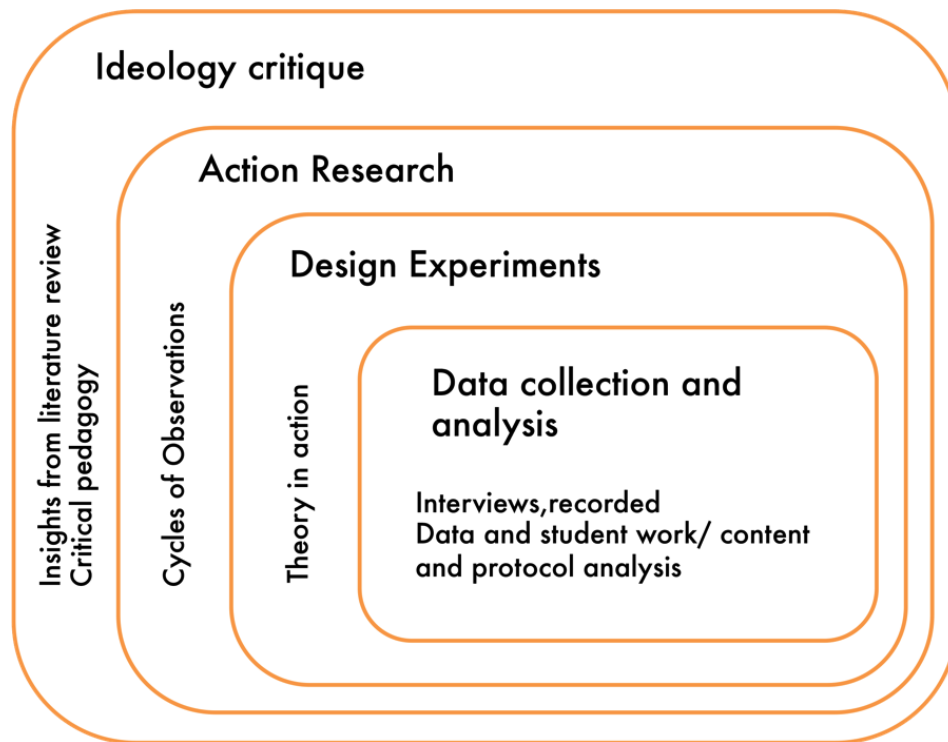
This chapter will present a more focused view within the two domains of design and sustainability, so that an explicit link between them can be established. The entire chapter has been divided into three sections: theoretical, conceptual and methodological.

The theoretical framework is based on broad research problems and questions. The problems identified deal with how ‘designerly’ ways and means of integrating sustainability into design process is not adopted in design pedagogy; and that sustainability pedagogy for design either gets too specific in teaching particular techniques to certain problems or sidelining the issue completely. The research questions, which emerge from mulling over the aforementioned problems are: (1) What are the defining features of design and what is the epistemology of sustainability where design can contribute? (2) How can sustainability approaches be imparted through design process in design pedagogy? These problems need to be thought about and these questions need to be raised because if ontological features of design integrate sustainability epistemology, there are greater chances of sustainability becoming the meta-objective of design process. The proposition of the theoretical framework section is to find a suitable method to incorporate sustainability knowledge into design process.

The conceptual framework section picks the thread from theoretical framework and identifies conjecture/analysis as a suitable approach to fulfill the above proposition. It sets out a matrix of clarifying the research objectives, hypothesis, data sources, collection methods and analysis methods. The advantages and disadvantages of the conjecture/analysis approach are discussed through supporting literature.

The methodological framework discusses the overall top-down and bottom-up approach followed to answer the research questions. Top-down approach is a theoretical approach through literature. The bottom-up approach is the empirical work conducted with students through action research.

Chapter 3: Methodology and Research design

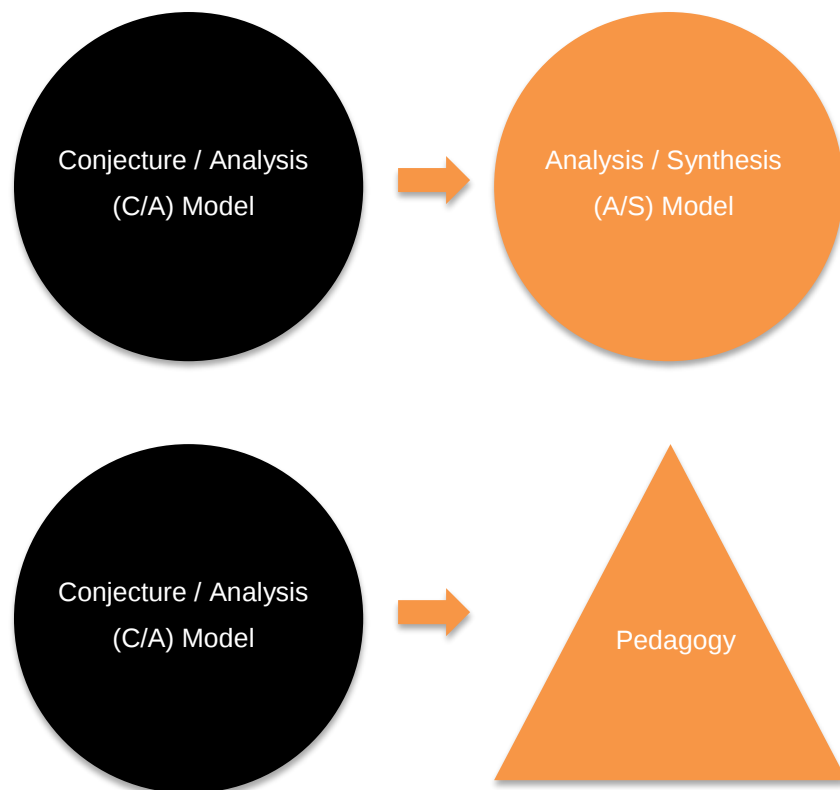


The choice of methodology is justified in this chapter. It is based on best ‘fit’ which fulfills the objectives. As suggested in the methodological framework of the previous chapter, action research is the primary method of investigation chosen for the empirical work. Action research is widely used in educational research. The author is in a unique position to carry out action research as a teacher in a design institute. This methodology has guided this study in two ways.

1. To develop the model progressively along the action research stages.
2. To use action research as theory in action by demonstrating that conjectures are the link between the theoretical constructs of design ontology and sustainability epistemology.

The primary objective of action research is to improve practice. The objective of the author also is to improve practice by incorporating sustainability approaches in design pedagogy. Under the umbrella of action research a nested methodology is suggested. The nested methodology consists of gauging the present status of design teaching, evolving a tentative model for sustainability pedagogy and validating the model through empirical observations which are part of the action research. The chapter concludes with the explanation of the entire research design with all its stages.

Chapter 4: Use of conjectures in Design Pedagogy

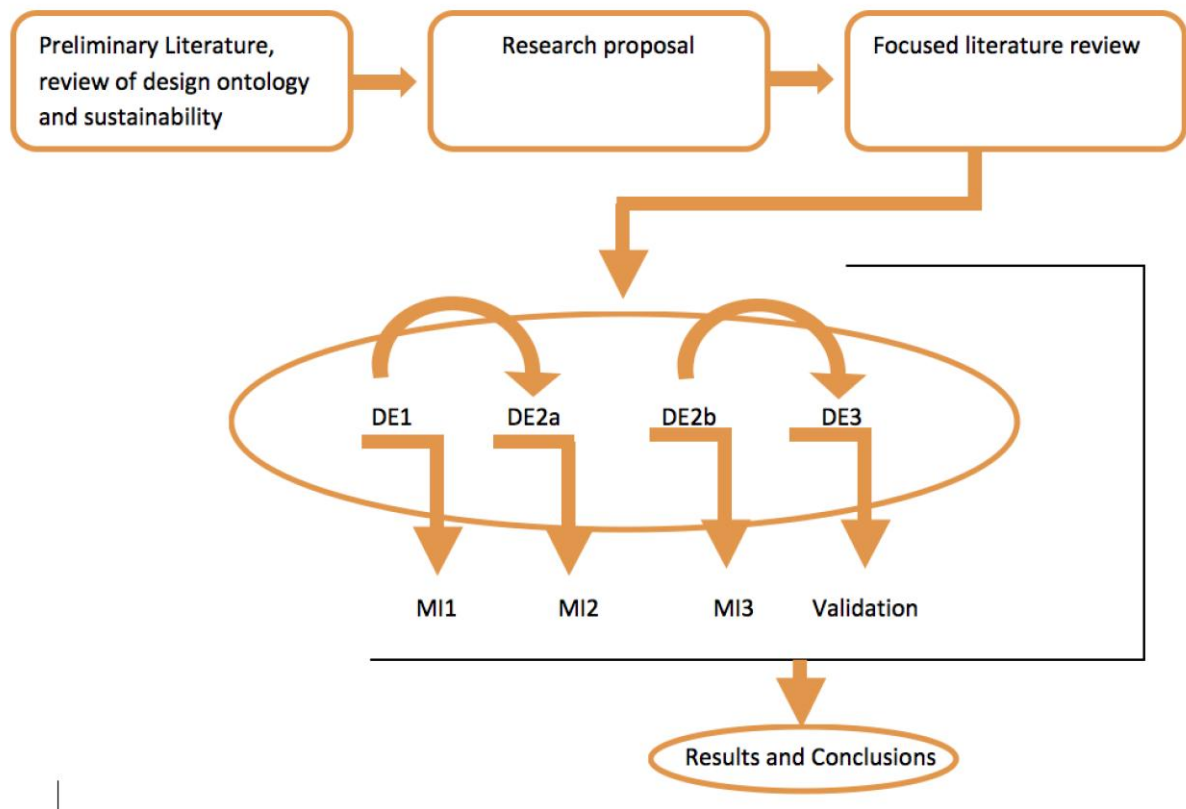


On the onset, literature about current status of sustainability pedagogy is discussed. Glimpses of conjecture-based pedagogy, is seen in sustainability. Wherever it is not used, there is scope of using it. The analysis/synthesis and conjecture/analysis models have been compared and discussed as part of the ontology section of literature review. It is taken up here again for review. In this chapter the two models are understood in the context of pedagogy.

Action research is intimately connected to ideology critique. The critique developed by the author for this research is a combination of author's experience of teaching design and sustainability and empirical observations conducted of another instructor's studio teaching. In

fact the motivation for the research as mentioned in the introduction (Chapter 1) are the critical questions raised in the mind of the author, while teaching design and sustainability subjects.

Chapter 5: Development of Conjecture-Analysis Model for Sustainability (CAMS) through iterations

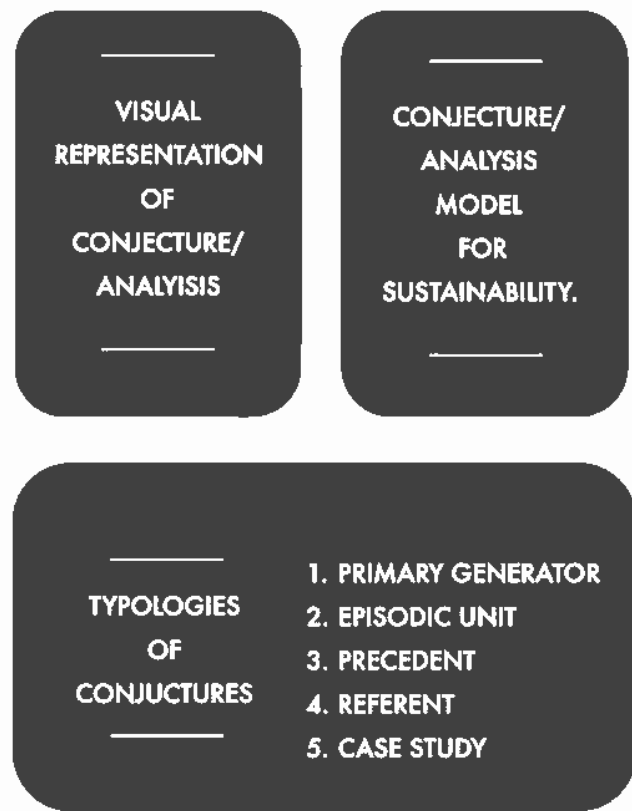


This chapter describes the empirical observations made to derive the conjectures used while designing. In the nested methodology, design experiments have been chosen as the method to collect data. Different pedagogic situations have been chosen to conduct the design experiments, which describe more detailed insights gained into the conjectures that should be used to facilitate sustainability pedagogy. These are action research procedures conducted with a standpoint of teacher-as-researcher. After each step the insights are matched with the model and revisions are made. The reasons for making the revisions are also discussed. Model iterations 2 and 3 are described in this chapter.

Chapter 6: Flexible application of Conjecture-Analysis Model for Sustainability (CAMS)

A set of observations was conducted with three students' dissertation projects. The projects were related with sustainability. The observation revealed that the students used the model in a flexible manner, not in the same order prescribed in model iteration 3. Though all the elements were present, the manner of using it largely depended on the context. The usage pattern of conjectures within the C/A framework has been mapped for each project.

Chapter 7: Summary and Conclusions



This chapter deliberates on the conclusions drawn from the research. It discusses how the research questions raised in the beginning have been addressed. The main task laid out in the beginning of developing a model for sustainability design pedagogy has been achieved. However, the observations also led to yet another area of contribution, which is- typologies of conjecture. This is an important contribution as it further articulates and lends credence to the design activity for sustainability, which follows the C/A model.

The robustness of the model has been discussed citing various insights from the research. A very important part of the conclusions are the developing pedagogic patterns with the community of design educators at large. The model can be generative in as much as creating a case each time it is used. This when documented creates material for other instructors to teach better.

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Chapter 1

Introduction

1.1 Background

Design and sustainability, are not new concepts but they have only been rightfully instituted as formal areas of knowledge for about six decades now. In spite of sustainability being on design's consciousness, the two have never found a common ground to address the unsustainability, which surrounds us. This thesis postulates that a common ground can be found. The common ground is where the basic defining features of design (ontology) and knowledge of sustainability where design can contribute (epistemology) come together.

Ontology is the nature of an entity, its characteristics and the reason for it being the way it is. And how that nature distinguishes it from any other entity. Epistemology is the 'theory of knowledge', the body of knowledge gained over time (historical) and the factors and arguments, which shaped it (philosophy). It can be illustrated through the example of 'stone'. Stone exists in our surroundings. What is stone? What makes stone a stone and not wood? These are ontological questions. What do we know about stone? How do we know it? Will a

sculptor's knowledge of stone be the same as a geologist's or an architect's? What are the boundaries to each one's knowledge? These are epistemological questions.

The larger purpose of this inquiry is therefore to align the ontological features of design with epistemology of sustainability discourse. This alignment will be looked at with a lens of design pedagogy. The aim is to find suitable design pedagogic method for sustainability. Jones, in fact describes sustainability as “a condition or a set of conditions which has normative and ontological dimensions for any discipline (Jones, 2010). The question is whether the discipline of design adopts sustainability as a normative and ontological dimension. Looking at the present scenario, it seems that it does not. When we dig deeper we find that there are challenges to the integration of the two domains. The key ideas of the thesis are linked to these challenges.

1.1.1 Situation of Crisis

This situation is explained as two points of crisis (Margolin V. , 1998):

(1) ‘Crisis of Will’, and (2) ‘Crisis of Imagination’. The former crisis suggests that there is unwillingness amongst the designers [and students of design] to assess their work for unsustainable consequences. The latter crisis suggests that, “Too few examples of projects that are directed [towards sustainability, which] serve to stimulate or inspire designers and [students of design]. While such projects do exist, they are for the most part, closed out of academic design courses and professional publications”. It is important for sustainability as it is for design to have a database of examples of previously done projects. Teaching is better received and is relevant to students when this is done. The students are encouraged to initiate sustainability thinking right at the onset of the design process and identify pertinent sustainability cases for their projects. These cases may be from varied disciplines and not necessarily from the area of design.

1.1.2 Tenacity of design styles¹

Tenacity of design styles (Cherkasky, 2004) is another kind of crisis. Cherkasky is interested in how one design style becomes dominant over the others. And further, he looks at how dominant design style might be disrupted, providing openings for change.

We encounter tenaciousness of ‘unsustainability’ in design pedagogy on many occasions. There are various reasons why unsustainability has become the dominant design style amongst students of design. This thesis explores the reasons for this dominance through critical pedagogy and suggests ways of disrupting it. Amongst students and faculty, it takes much effort to change the worldview and adapt to sustainable ways of thinking.

1.1.3 Embeddedness of unsustainability in everyday practices

Unsustainability gains legitimacy through its embeddedness in the system. Vance Packard’s (Packard, 1963) argument adds nuances to the discussion of embeddedness through the description of planned obsolescence.² Companies plan the time until which a product is useful. When the product ceases to be useful another product is introduced in the market. This maintains the production-consumption cycle.

Austrian philosopher Ivan Illich explains embeddedness in civic policy (Illich, 1973). Policies are forced to tow the line in spite of being aware of the unsustainable consequences.³

Shove also builds her argument on unsustainability gaining legitimacy through embeddedness in the system. The key idea in her argument is that consumption is a reasonable

¹ Cherkasky borrowed the term ‘thought style’ from Fleck (Fleck, 2012) and used it as design style. In his book, ‘Genesis and Development of a Scientific Fact’, which was originally published in German in 1935, Ludwig Fleck used concepts of ‘thought style’ and ‘thought collective’ to describe how a belief becomes legitimized as a fact.

² Brook Stevens, a leading Industrial designer talked about ‘planned obsolescence’ in a public lecture in the late 50s. Until then it was discussed in boardrooms, behind closed doors. Webster’s dictionary defines obsolescence as ‘out of use’. But in design terms ‘going out of use’ can be of three types- obsolescence of function where existing product becomes outmoded. Obsolescence of quality is, where a product breaks down, and obsolescence of desirability is, where a product ‘wears out in our minds’.

³ Ivan Illich describes how the US department of transportation wielded ‘radical monopoly’ by building national networks of smart highways with taxpayers’ money. And further, people are encouraged to buy smart cars so that they can use the highways. Smart highways were a system of roads and other high-tech solutions provided to people. But the catch was that people had to buy smart cars in order to avail the facilities of smart highways, hence doing away with their earlier cars, which were now obsolete. As a consequence people to bought more and disposed more.

consequence of the existing options available to people (consumers). Over consumption is therefore inconspicuous, it is not directly intentional nor is it understood as such. Even social norms and conventions like ‘Comfort, Cleanliness and Convenience’ (Shove, 2007)⁴ have unsustainability embedded in them. Inconspicuous consumption and the role of design in supporting the unintentional consumption is defined as the key problem to which there is a limited response.

It was found that, students understood sustainability better when the context of their projects is situated in the domain of everyday events where unintentional consumption happens. No designer aspires towards unsustainability. Unsustainability happens as a consequence of habitual behavior of stakeholders. The process of identifying unsustainability in situations is a recurring theme throughout the thesis. Design students are encouraged to identify unsustainable consequences and transform them into desirable sustainable situations using insights from previous successful designs and solutions.

1.2 Motivation for the Research

The overriding reason for embarking on this research is to improve the pedagogical approach, while teaching Design for Sustainability. The motivation and the initial research questions arose mainly from author’s experience of teaching two subjects- (1) Design Methodology (DM 503), (2) Sustainable systems (SS 306); at the National Institute of Fashion Technology, Mumbai, India. The two main questions, which arose, were:

1. How is design pedagogy different from sustainability pedagogy?
2. Is there an entirely different method for teaching/learning sustainability in design?

The level of similarity of these two approaches suggests that an entirely new pedagogy need not be developed for learning and teaching of sustainability (Fletcher K. &, 2002). The challenge however is that, existing design approaches do not naturally lead to sustainability solutions. But one can choose an appropriate design approach, which

⁴ Shove identifies the 3Cs (comfort, cleanliness and convenience) as the social construction of normality, but are ‘hotspots of consumption’. She explains comfort through standardization of air-conditioning comfort across cultures. Cleanliness is explored by Shove through laundering and bathing habits and convenience through a plethora of things with which we surround ourselves.

already exists and look for ways in which it can be channelized to yield sustainable solutions.

1.3 Sustainability discourse

Most traditional cultures have been sustainable due to their small scale of operations. Functioning was contained within communities, which were naturally oriented towards social and environmental considerations. As things grew more complex, they had to be addressed at a global level. The sustainability debate at a global level started with two reports, namely, 'The Limits to Growth' (Meadows D. M., 1972) and 'Our Common Future' (Brundtland, 1987). So important were the issues discussed in the international coalitions and so impactful was the reporting that they spearheaded sustainability debate world over.

The Limits to Growth is a 1972 book about exponential growth in a finite world. It was funded by the Volkswagen Foundation and commissioned by 'Club of Rome'⁵. An important excerpt from the book (Meadows D. M., 1972):

Applying technology to the natural pressures that the environment exerts against any growth process has been so successful in the past that a whole culture has evolved around the principle of fighting against limits rather than learning to live with them. But the relationship between earth's limits and man's activities are changing.

'Our Common Future' is a report compiled by 'The World Commission on Environment and Development'. This was headed by the then prime minister of Norway, Gro Harlem Brundtland (Brundtland, 1987), therefore this report is also referred to as The Brundtland report. It defined sustainable development as the development, which satisfies the needs of the present without compromising the ability of future generations to meet their own needs⁶. The Brundtland report came under fire because of its anthropocentric bias. Wolfgang Sachs has been writing in the area on ecology and politics for many decades. He works in the

⁵ Club of Rome is a global think tank founded in 1968 that deals with variety of international issues.

⁶ Lester Brown introduced the concept of 'sustainability' in the early 1980s. He was the founder of the World Watch Institute. He defined a sustainable society as one that is able to satisfy its needs without diminishing the chances of future generations (Brown, 1982). 'Building a Sustainable Society' was also published as a paperback in the same year (1982). The cover quoted Brown's words, 'We have not inherited the earth from our fathers but we are borrowing it from our children.'

Wuppertal Institute for Climate, Environment, and Energy since 1993. His critical viewpoint about the Brundtland (Sachs, 1998) is:

Even bearing in mind a very loose definition of development, the anthropocentric bias of the statement springs to mind; it is not the preservation of nature's dignity, which is on the international agenda but to extend human-centered utilitarianism to posterity.

But on the other hand the commission report was responsible for important initiatives such as Factor 4⁷ (Weizsacker, 1997), Factor 10⁸ (Hinterberger, 1999), Kyoto Protocol⁹, and Agenda 21¹⁰.

The most relevant and recent initiative for the field of education is the UNDESD. The United Nations Decade of Education for Sustainable Development is a complex and far-reaching undertaking. The overall goal of the DESD is to integrate the principles, values, and practices of sustainable development into all aspects of education and learning. This educational effort will encourage changes in behaviour that will create a more sustainable future in terms of environmental integrity, economic viability, and a just society for present and future generations. DESD had implications for sustainability perspectives in all areas of education, design education included. Some of the notable organizations with regard to design, which got an impetus during DESD are: DESIS, ULSF and Cumulus.

⁷ The concept aims for society to last twice as long or enjoy twice as much whilst using half the resources and placing half the pressure on the environment.

⁸ It is the radical idea that humanity must reduce resource turnover by 90 percent on a global scale within the next 30 to 40 years.

⁹ It is an international treaty, which was adopted on 11 Dec 1997 to fight global warming by reducing greenhouse gas concentration in the atmosphere.

¹⁰ The broad mandate of Agenda 21 is then divided into six themes: quality of life, efficient use of natural resources, protecting global commons, managing human settlements, the use of chemicals and the management of human and industrial waste, and fostering sustainable economic growth on a global scale (Margolin, 1998).

Table1.1 Sustainability initiatives in design education

Design for Social Innovation and Sustainability (DESI) Network	DESI Network originates from three main international activities in the 2006-2008 period. European research EMUDE 2005; the UNEP Program 2008; the international conference, "Changing the change", 2008. The vision of DESI is to drive: Design for social innovation Emerging sustainable ways of living Design schools as agents of change
Leaders for a sustainable future (ULSF)	The secretariat of University Leaders for a sustainable future (ULSF) was founded in 1992 as a direct result of the Talloires Declaration¹¹. It identifies the urgent need for higher education and a ten-point action plan for incorporating environmental literacy and sustainability into university teaching and practices. ULSF has now become an independent entity in 2007, which functions as a virtual organization.
Cumulus	Cumulus was founded in 1990, operating as an association since 2001, recognized by UNESCO since 2011. Cumulus signed the Kyoto Design declaration in 2008. Cumulus offers wider international context for discussion and developments in education and research of art, design and media.

¹¹ The Talloires Declaration (TD) is a ten-point action plan for incorporating sustainability and environmental literacy in leading research operations and outreach at colleges and universities. This was composed in 1990 at an international conference in Talloires, France.

These initiatives are at once the mirror and a driver of interest of design schools in sustainability education. Many designers and design academics are now wholly dedicated to the cause of integrating sustainability in design education and more particularly, in pedagogy. The thesis borrows majorly from four of them, as follows.

Table 1.2 Integrating sustainability in Design pedagogy

	Author	Argument
1	(Margolin V. , 1998)	Sustainability should be the meta-objective of every design project.
2	(Manzini E. , 2003)	Looking at future scenarios in the ‘Sustainability Everyday Project (SEP)’. He has incorporated the learnings into design and sustainability pedagogy.
3	(Shove, 2007)	Design for everyday life. Unsustainability is inconspicuous in our everyday life.
4	(Dewberry E. , 2011) (Dewberry E. &., 2002)	Contextualizing design within the domain of sustainability.

The thoughts of Margolin and Dewberry lead us to the epistemology of sustainability with regard to design. Further, we take forward the idea that contextualizing design within the domain of sustainability. This will help in making sustainability the meta-objective of every design project.

Shove's concept of inconspicuous consumption and Manzini's 'Sustainable everyday project (SEP)' have guided the empirical observations and contributed to the CAMS model proposed by the author.

1.4 Design discourse

The design discourse is really about the story of the philosophy of the two most influential schools of design- Bauhaus and HfG Ulm.

Bauhaus holds the unique position of singlehandedly changing the course of design. It started a new aesthetic, established a design philosophy where none existed and spearheaded a novel way of teaching design, which is relevant to this day, in its original form or with slight changes.

In 1919, the first director of Bauhaus, Walter Gropius, published his famous 'Manifesto'. The initial goal of the program he wrote, 'was to reunify the artistic disciplines of sculpture, painting, applied art and craft.' The 'workshop' was the sculptural basis of the Bauhaus method of teaching. Eliminating the academic connotation of the term 'professor', Gropius had the teachers called 'masters' and the students called 'apprentices' and 'journeymen' to put them into the context of real world trades (Lerner, 2005).

On the other hand, HfG Ulm's agenda was to scientise design. The philosophy was to build design solutions based on analytical rigour. The philosophy was based on the 'Ulm model', which is a more methodological and structured approach to pedagogy. It consisted of a basic course and introduction to consolidated theoretical disciplines. Fendeli (Fendeli, 2001) very aptly captures the philosophical discourse of early design schools.

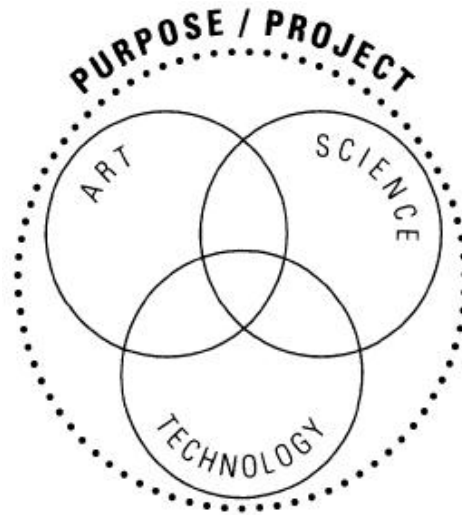


Figure 1.1 Archetype (U model) of Design curriculum. Source: (Fendeli, 2001)

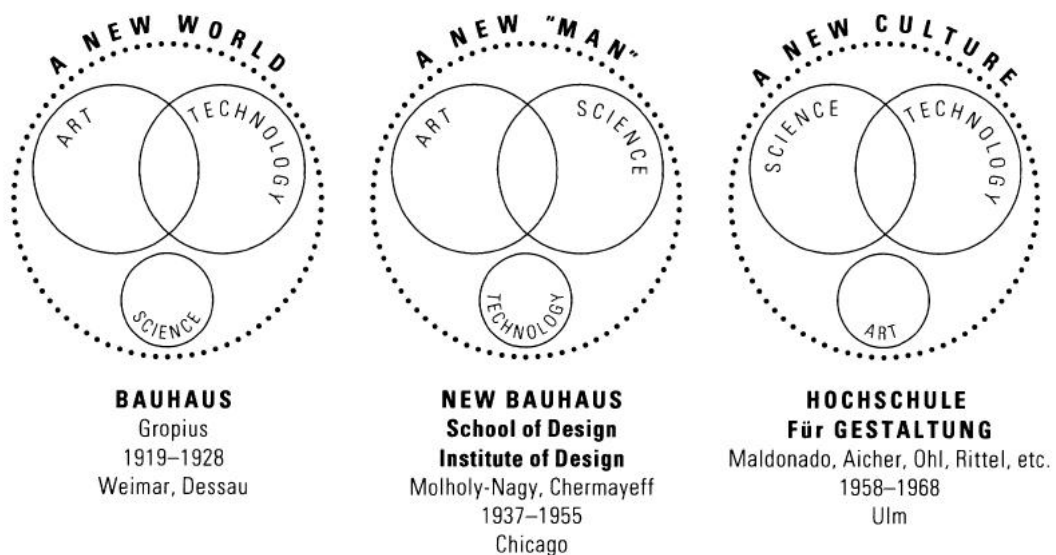


Figure 1.2 Three historical embodiments of Design education. Source: (Fendeli, 2001)

Another important thread in design discourse is the design methods movement in 1960s. The Conference on Design Methods, held in London in September 1962, is generally regarded as the event, which marked the launch of design methodology as a subject or field of enquiry. The desire of the new movement was even more strongly than before to base design process (as well as products of design) on objectivity and rationality (Cross N. , 2006).

From the 60s until now there have been many definitions, interpretations of design. New areas of design have emerged. But what remains almost unchanged is the most widely accepted (and practiced) logical structure of the design process as below (Fendeli, 2001).

‘A need, or problem, is identified; situation A.

A final goal or solution is imagined and described; situation B

And the act of design is the causal link by which the situation A is transformed into situation B’.

The above structure worked very well as a distinguishing feature for design methods, but garnered seeds of unsustainability. The final solution (situation B) was always considered to be an artifact leading to a grossly material culture. And as we now realize that we are obsessed with materiality, which has ecologically harmful. But one can sense a change in the design community. A new logical structure that is now emerging as the structure of design process is:

Instead of a problem, we have a state A of a system.

Instead of a solution, we have a state B of a system.

The designer and the user are part of the system (stakeholders)

This shift in design thinking adapts itself very well to the sustainability discourse. The new systems approach urges designers to work within a system and design solutions to be ‘situated’ within the system. This structure also suggests that the designed state B need not be an artifact, which paints future scenarios of immateriality.

The thesis will delve deeper into the new structure of design process and will deliberate on how it can be given a usable form, which can be used in design pedagogy.

Some important thinkers have marked the milestones in the discussion on Design ontology, which has shaped the theoretical understanding. These have been listed and briefly described below.

Table 1.3 Important arguments in design discourse

	Author	Argument
1	(Rittel H. &., 1973)	Problems in design are wicked. They contain uncertainty and complexity. Understanding the

		problem and interpreting appropriately is the key.
2	(Schön, 1987)	Design situations cannot be resolved with 'technical rationality' but have to be resolved reflectively.
3	(Jonas, 2001)	Design needs theories, and theories which are not limited or too specific in application but which are generative.
4	(Cross N. , 2006)	Just like 'science' and 'humanities' 'design is a third discipline which has its own epistemology and therefore 'Designerly ways of knowing'.
5	(Simon, 1996)	Design is the creation of the artificial.
6	(Buchanan R. , 1992)	Design problems are 'indeterminate' and 'wicked' because design has no special subject matter of its own apart from what a designer conceives it to be.

1.5 Operational challenges of integrating sustainability into design curriculum

Let us recall the discussion in section 1.1.1 and 1.1.2 where we talked about situation of crisis, tenacity of design styles and the accepted approach of design process. We see the manifestations of these challenges in the delivery of curriculum in design schools. Sustainability pedagogy either gets too specific in teaching particular techniques to particular problems; or the issue gets completely sidelined in the entire design process.

Ramirez further found in his worldwide survey that, 'overwhelming majority of design educators profess deep-seated beliefs in the importance of teaching sustainability in the studio, yet only half use sustainability criteria in assessing student projects' as the design evaluation of student projects were already 'full' with other criteria mentioned above (Ramirez, 2007). He says:

Although academics consider sustainability as a topic that design students need to be exposed to, the classic design criteria- aesthetics, functionality, ergonomics, manufacturability etc.- are still deemed to be the overriding determinants of what constitutes good design, and impacts to society or to the environment do not hold the same significance.

Even though instructors and students are very keen to adopt sustainability into their projects the above reasons seem to be barriers in doing so.

Efforts in this direction continue to be made. In response to the need of sustainability knowledge being made available for design in Higher Education, Kate Fletcher and Emma Dewberry developed a multimedia web-resource. This was a UK government funded project. It was named Demi- Design for the Multimedia Implementation project. Design educators in UK used this widely. The figure below represents how the authors made sense of the present situation of integration of design and sustainability domains.

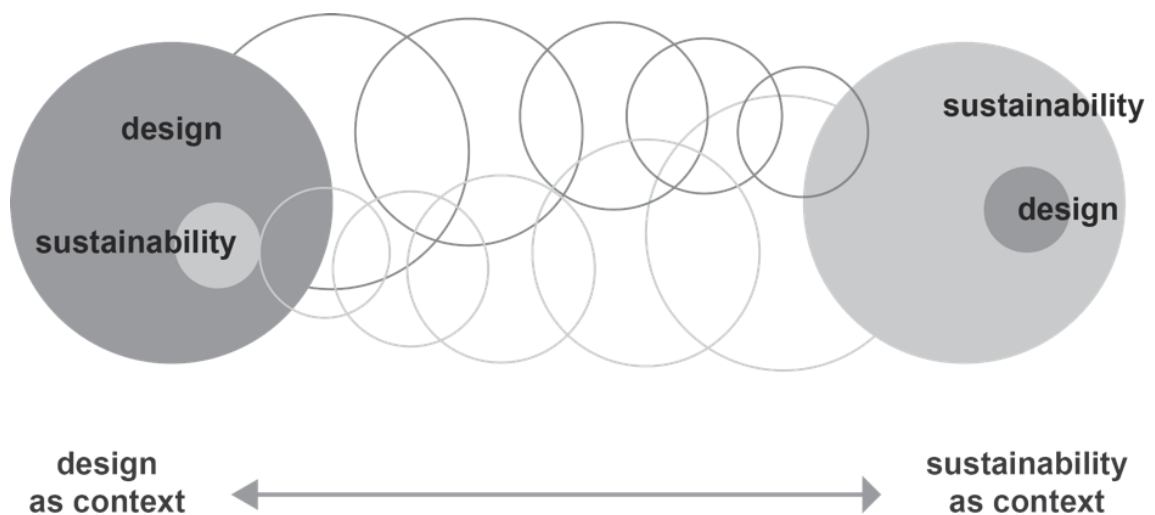


Figure 1.3 Range of possible starting points for education in design for sustainability. Source: (Fletcher K. &, 2002)

At one end is a ‘design context’ where sustainability is ‘understood’ within the frame of reference of current design activities and priorities. At the other end of the continuum is the ‘sustainability context’. Here design is viewed as a dimension of sustainability (rather than sustainability being viewed as a dimension of design as at the other extreme) (Fletcher K. &, 2002). Dewberry later critiqued the earlier work to come up with very useful insights. While Demi may have succeeded in communicating knowledge about sustainability it failed to transform design learning to challenge the core focus of market driven design activity (Dewberry E. , 2011). Limits to sustainable change through design are bounded by the limits of the system in which design operates. An updated version of the previous figure was presented in a later paper by the same authors.

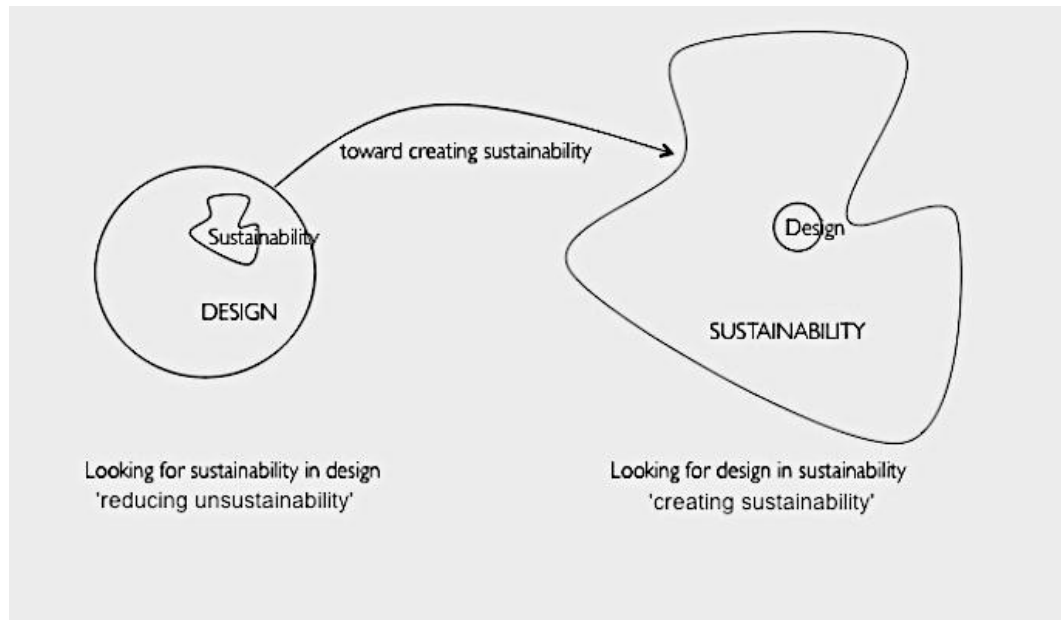


Figure 1.4 A journey for design: from reducing unsustainability to creating sustainability.

Source: (Dewberry E. , 2011)

We can conclude therefore that mere knowledge of sustainability is not enough for ‘application’ on artifacts but design needs to be ‘situated’ in the domain of sustainability to create a meaningful effect.

1.6 Need for the research

Sustainability as an objective of design process in studio pedagogy is often not explicit. The fact that sustainability issues need to be tackled within design and design education is uncontested. A pedagogical tool or approach has to be found that will equip the students of design to contextualize sustainability in every design problem as a meta-objective.

The more focused inquiry is into identifying conjectures in pedagogy. This is important because they (conjectures) can be used more effectively to bridge the gap between design and sustainability. Conjectured thinking is natural to the way designers think, but to use it effectively in the area of sustainability in design pedagogy is an unexplored area. Both instructors/facilitators and students will benefit from using conjectures more reflexively. In summary:

1. The use of conjectures is implicit; it can be made explicit and structured.
2. Conjectured thinking is common to design and sustainability therefore it can be used to bridge the gap between the two domains.
3. Expanding the understanding of conjectures and its use in design process has a huge area of possibility. This has not been explored.

1.7 Explanation of key terms

Some terms have been explained below which are important themes in the thesis. It is important also to understand the context in which they are used.

1.7.1 Conjectures

The philosopher of science, Karl Popper, first proposed the theory of conjectures to explain scientific method. He formulated ‘hypothesis’ or ‘conjecturing’. He laid great virtue in trial and error and making mistakes (Bamford, 2002). Science is replete with bold conjectures. Newtonian mechanics turned out to be false, but would we have progressed without accepting it tentatively?

Hillier and Musgrove (Hillier, B, Musgrove, L & O'Sullivan, P., 1972) were the first to look at adopting conjectures into design. They argued that design is a matter of pre-structuring problems either by knowledge of solution types or by knowledge of latencies of the instrumental set in relation to solution types. Also, "it is not [only] a matter of whether the problem is pre-structured and whether the designer is prepared to make the pre-structuring the object of his critical attention.

In this thesis one has made conjecture or 'pre-structuring' the object of critical attention in sustainability pedagogy. One of the main tasks in the thesis is to find how conjectures are used in the design process of sustainability pedagogy.

1.7.2 Conjecture-Analysis

It is a method useful in design process, which arrives at a 'solution-in-principle' (Bamford, 2002) in the early stages. This is helpful in dealing with complex, open-ended problems of design. This method is always pitted against Analysis/Synthesis and the debate is still active in design discourse.

As in design, sustainability problems are also complex and open-ended; therefore conjecture/analysis is found suitable for sustainability as well. The entire research is based on its (C/A) enquiry and on unraveling new knowledge about the C/A method.

1.7.3 Analysis-Synthesis

It is the 'first generation' methods of design methodology (Dorst K. &, 1995). It was heavily influenced by the positivist approach, which tried to make design rational (or rationalizable). There were many problems when applied to design but design methodologists continued refine it since it gave credibility to design as a serious domain. Adopting A/S meant that design was deliberate and had followed a process and did not just come from the designer's head. This meant that facts would be observed and recorded 'without reflection, without selection or a priori and without hypothesis or postulates' (Chelmons A.F., and Henpel, C.G), as in (Bamford, 2002).

1.7.4 Ontology

Ontology has been understood in two ways- one is the ‘nature of being or becoming’ and the other as ‘categories of being’. The first meaning has been explored by understanding what is the fundamental nature of design and how it is different from other disciplines. In this endeavour conjecture was found to be the most vital aspects of design ontology. The second meaning of ‘categories of being’ has been worked as taxonomy of ontology and epistemology and their relationships.

1.7.5 Epistemology

Epistemology is the ‘nature and limits’ of knowledge. The knowledge of sustainability is an area of interest here. It is found that designers, especially students of design are unable to apply relevant sustainability knowledge to design. When areas of sustainability are found where design can contribute (epistemology), it makes the sustainability design process more robust.

1.7.6 Action research

This is a research methodology quite commonly used in educational and pedagogic research. The main aspects of this action research are: 1) Improving practice by collecting real data from actual practice, 2) The research progresses in several steps. This is done to allow for reflecting on the insights of one step and applying it to the next.

1.7.7 Design experiment

This is a research method, which could potentially answer research questions raised in the thesis. The hallmark of the method is ‘theory building’ and ‘ecological validity’. Design experiments are conducted to ‘develop theories’, not merely to empirically tune ‘what works’ (Cobb, 2003). This means that instead of separating the variables, like in a conventional experiment, and testing in a controlled environment, design experiment works in an ecological setup of a classroom, which is operating in its normal course. The researcher however, has designed an intervention and observes specific theories and events.

In this research, the researcher intervenes in a design sustainability studio to observe the use of conjectures in design process. The design experiment is conducted to test the theory of C/A as an effective design method.

1.7.8 Sustainability

Sustainability is a key domain of discussion of this research. Among myriad meanings, sustainability is understood in two ways in this thesis.

- a. Appropriation of consequences- consequences are the unsustainability factors, which have to be appropriated into sustainability factors. This viewpoint is key to visualize a model.
- b. Conserving resources- Resources in the context of sustainability has traditionally been understood as environmental resources, but this thesis includes abstract resources such as knowledge, time and learning also as resources to be conserved.

1.7.9 Pedagogy

The interactions between teacher and learner, is understood as pedagogy. In this context of design, the most effective platform for teaching and learning transactions is –‘the studio’. Within the ambit of studio practice, three situations have been chosen to collect data from.

- a. Teacher addressing the whole class
- b. Discussion of students among themselves
- c. One-to-one discussion of student with their instructor.

1.8 Limitations

1. There is no case repository for design cases to be used in Conjecture based studies. Therefore students have used cases available from various sources.
2. Referents have been chosen from various sources, but it is not a comprehensive list.
3. Action research has been conducted by teacher-as-researcher and researcher’s observation of another instructor. More number of instructors could not be tapped for the purpose of action research.

4. The learners are all of different levels, therefore it is difficult to analyse all the students' work. Only a few have been chosen for analysis and the justification has been made for the choice in chapter 4.

1.9 Delimitations and Scope

1. The unit of observation is the design process where conjectures are identified and mapped. The final solution is not evaluated for sustainability effectiveness. This is because it is beyond the scope of the thesis due to the limitations of time and it would dilute the rigour of exploring early design process in the context of conjecture.
2. There are models to direct environmental sustainability, but none to guide multidisciplinary approaches such as proposed by this study.
3. The purpose of this action research is to test theories in action. Evidence of the theory working is the success of the study and not the measurement of the outcome.
4. Design and sustainability pedagogy is the focus of the study and not the sustainability curriculum or instructional design.
5. Pedagogic patterns, which emerge in the design studio classes using the model, have to be captured and shared with other design educators. This is outside the purview of this thesis and has been proposed as future work in chapter 7.

Chapter 2

Conceptual, Theoretical and Methodological framework

2.1 Chapter introduction

A broad overview of the challenges of integrating the two large domains of sustainability and design was given in chapter 1. Chapter 2 will present a more focused view within the two domains such that explicit link between design and sustainability can be established. The entire chapter is divided into three frameworks: theoretical, conceptual and methodological.

The theoretical understanding is gained through studying the constituent parts of design ontology and sustainability epistemology. The relevant factors are then picked from the two fundamental theoretical aspects of ontology and epistemology, to build a pedagogical structure for further exploration:-

2.2 Theoretical framework

The theoretical discussion about design can be assumed to be well and truly begun, in 1960s with the attempts to scientise design and with it, the efforts to fit in a methodology and structure to design process. In the 1980s, this was challenged most notably by Donald Schön who argued for design as a ‘reflective practice’ rather than a profession of ‘technical rationality’ (Schön D. A., 1983).

The theoretical discussions gain teeth with the development of areas such as Design Philosophy and Design Studies. These areas take the responsibility of deliberating on the nature of design. Design, with its own characteristics distinguishes it from other fields. Therefore it stands to reason that, if other disciplines like engineering, management, environmentalism and politics are dealing with sustainability in their own way, design should also tackle the issue in a ‘designerly’ way. Further, this ‘designerly’ way also needs to be adapted to the pedagogic context.

Within the domain of sustainability, designers are driven to squarely take the onus for the world of artifacts. Literature in this area will be reviewed to find insights.

2.2.1 Design Ontology

As practitioners and theorists of design reflect on what design ‘is’ and what it ‘is not’, the discourse becomes more articulated.

Cross (Cross N. , 2006) credits several journals, which started at this time along with some landmark conferences gave fillip to the discussion on the ontology of design. These included Design Studies in 1979, Design Issues in 1984, Research in Engineering Design in 1989, the Journal of Engineering Design in 1990, Languages of Design in 1993 and the Design Journal in 1997.

The conference 'Discovering Design' made some important discoveries:¹²

It was recognized, that design exists as the central feature of culture and everyday life. And that even though design is a domain of contested principles and values it needs to be characterized in its own terms. (Buchanan R. &, 1995).

¹² The goal of the conference in 1990-Discovering Design was to broaden contemporary dialogue about design among practicing design professionals and individuals from a wide variety of academic disciplines.

Jonas gives a comprehensive explanation of this:

Design is not art because it does not aim at individual expression, but instead to serve various stakeholders. Design is not technology because it deals with fuzzy, discursive criteria rather than objective criteria. Design is not science because it does not offer new explanatory models of reality, but changes reality more or less purposefully. Obviously, design is something very special. (Jonas, 2001)

Another perspective is the ‘Design Way’ where ‘human intention is made visible and concrete through the instrumentality of design’. Here design has been accorded a higher status than the creation of the artifact itself (Nelson H.G & Stolterman, 2003).

Dorst’s (Dorst K. , 2011) arguments about design thinking, has ontological dimensions. He says that design thinking is a new paradigm in which there is an eagerness of other fields to adopt design practices. This is because design offers ways of thinking of uncertain and open-ended problems. Within the design research community, this has created the demand for a clear and definite knowledge about design ontology.

We embark on the journey into the enquiry into ‘designerly ways of knowing’, especially towards enhancing and developing these abilities through education (Cross N. , 2006).

Of the many perspectives of Design, three areas of Design ontology have been identified as being particularly relevant to the thesis:

1. The logic of reasoning,
2. Nature of the problem and
3. Behaviour of designers in the act of designing.

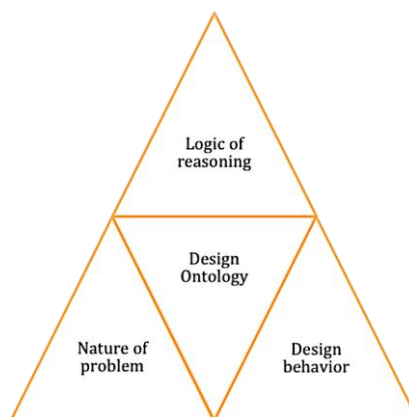


Figure 2.1 Three areas of Design Ontology

2.2.1.1 Logic of reasoning

The discipline of design with its logic of abduction and conjectured thinking has many distinguishing characteristics. When design was on its way to establish itself as a new discipline, it borrowed from the established disciplines of sciences and engineering. But it was soon apparent that design was different. The very logic on which it operated was different. Design operated on the logic of abduction as against deduction and induction.

‘Abductive’ reasoning is a concept from the philosopher C.S. Pierce (Peirce, 1957). He distinguished it from the other better-known modes of inductive and deductive reasoning. Pierce as in (Cross N. , 2006)) suggested that ‘Deduction proves that something must be; induction shows that something is operative; abduction merely suggests that something maybe.’ It is therefore [also] the logic of conjecture.

Let us look at the three approaches together.

Table2.1 Logic of reasoning. Adapted from (Kolko, 2010)

Logic of reasoning	Explanation	Application
Deductive	<i>A is B.</i> <i>All Bs are Cs</i> <i>A is deductively C</i> This form of logic is one that is self-contained, and any argument that uses deduction is one that cannot offer any new findings in the conclusions-the findings are presented in the premises that hold the argument to begin with, That is A, B, and C all exist in the premises that were presented.	This is the form of logic that is traditionally taught in mathematics courses and manifested in logical proofs.

Inductive	<i>Each time I do A under the same conditions, B occurs.</i> <i>Inductively, the next time I do A under these conditions,</i> <i>B will occur.</i> Subsequent experiences may prove this wrong, and thus an inductive argument is one where the premises do not guarantee the truth of their conclusions.	An inductive argument is one that offers sound evidence that something might be true, based on structured experience. This is a logic traditionally associated with scientific enquiry.
Abductive	<i>When I do A, B occurs:</i> I've done something like A before, but the circumstances weren't exactly the same. I've seen something like B before, but the circumstances weren't exactly the same. I'm able to abduct that C is the reason B is occurring.	Unlike deduction or induction, abductive logic allows for the creation of new knowledge and insight. C is introduced as a best guess for why B is occurring; yet C is not part of the original set of premises. It is best suited for design.

Problem solving in inductive and deductive logics happens under the conditions of bounded rationality. Design problem solving also starts with bounded rationality but has the capacity and possibility to abduct solutions through the use of conjectures. Here bounded rationality refers to the concept that human problem solvers are rarely in a position to identify all possible solutions to a problem at hand therefore settle for choices that seem to satisfy the required solution properties of a problem, as they see them at the time (Rowe, 1991).

2.2.1.2 Nature of the problem

Not only is the way of finding a solution unique but also the uniqueness extends to the nature of the problem. Yet another characteristic of design activity is heuristic reasoning. The term heuristic reasoning refers to a problem-solving process in which it is unknown beforehand whether a particular sequence of steps will yield a solution or not. Consequently, it involves a decision-making process in which we do not know whether we actually have a solution until the line of reasoning is completed, or all the steps are carried out (Rowe, 1991).

In spite of its uncertainties designers go through the process because of the gems that are embedded in the process. In following the heuristic line of reasoning, it is likely that, “the act of tracing out the intermediate steps exposes unforeseen difficulties or suggests better objectives, the pattern of the original problem may change [so] drastically (Buchanan R. , 1992). As if dealing with an evolving problem was not challenging enough, designers are also called upon to ‘treat as real that which exists only in an imagined future’ (Buchanan R. , 1992).

So we will work with the definition of a problem as below.

“A problem can be said to exist if an organism wants something but the actions necessary to obtain it are not immediately obvious” (Thorndike, 1931) as in (Rowe, 1991).

Design problems are of two kinds- Well-defined or ‘tame’ (Rittel H. W., 1973) and Ill-defined or ‘wicked’ problems (Rittel H. W., 1973). Well-defined problems are those for which the ends, or goals, are already prescribed and apparent; their solution requires the provision of appropriate means (Newell, Shaw and Simon 1967, p 70) as in (Rowe, 1991). And ill defined are those problems without definitive formulation, or indeed the possibility of becoming fully defined (Churchman, 1967, Rittel 1972, Bazjanac 1974) as in (Rowe, 1991).

Rittel identified ten properties of wicked problems in 1972.

1. *Wicked problems* have no definitive formulation, but every formulation of a *wicked problem* corresponds to the formulation of a solution.
2. *Wicked problems* have no stopping rules.
3. Solutions to *wicked problems* cannot be true or false only good or bad.
4. In solving *wicked problems* there is no exhaustive list of admissible operations.

5. For every *wicked problem* there is always more than one possible explanation, with explanations depending on Weltanschauung¹³ of the designer.
6. Every *wicked problem* is a symptom of another, ‘higher level’ problem.
7. No formulation and solution of a *wicked problem* has a definitive test.
8. Solving a *wicked problem* is a ‘one shot’ operation, with no room for trial and error.
9. Every *wicked problem* is unique.
10. The *wicked problem* solver has no right to be wrong- they are fully responsible for their actions. (Buchanan R. , 1992)

Why are design problems indeterminate and therefore, wicked? However the answer to the question lies in something rarely considered: the peculiar nature of the subject matter of design. Design problems are ‘indeterminate’ and ‘wicked’ because design has no special subject matter of its own apart from what the designer conceives it to be (Buchanan R. , 1992).

2.2.1.3 Design behavior

The uniqueness of design is not manifest only in logic of reasoning and the nature of the problem but is also invested in the active stakeholder of the design process- the designer. The design(er) behavior while working on a design problem is the topic of discussion of this section.

Donald Schön published his work on ‘reflection-in-action’ in 1987. Since then his theory has found an unshakable place in design discourse. He argued that ‘knowing-in action’ is a set of constructions that he has accumulated in his earlier practice. He brings this forth in “subsequent cases of his practice” (Schön D. A., 1983).

Schön elaborated his treatise on ‘reflection-in-action’ through many detailed observations with his students. One pertinent observation is related below.

Quist’s [student] relation to this situation is *transactional*¹⁴ (Dewey, 1949). He shapes the situation, but in conversation with it, so that his own methods and appreciations are also

¹³ Weltanschauung identifies the intellectual perspective of the designer as an integral part of the design process.

shaped by the situation. The phenomena that he seeks to understand are partly of his own making; he is in the situation that he seeks to understand (Schön., 1987).

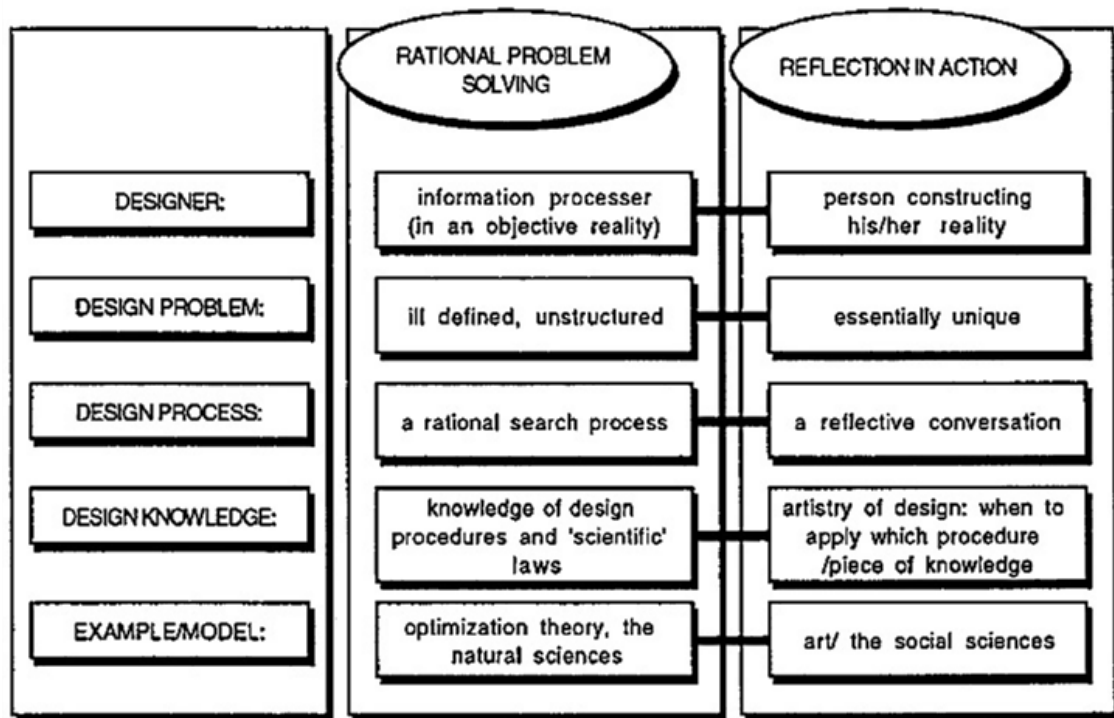


Figure 2.2 The rational problem solving paradigm and the reflection-in-action paradigms Source: (Dorst K. &, 1995)

Schön's argument of reflective practice is understood better when viewed in comparison to the existing positivist paradigm of rational problem solving. It is summarized in the figure above.

2.2.2 Sustainability epistemology

The fundamental epistemological lens, through which we will view sustainability for design, is that of Herbert Simon. Natural science, Simon wrote, "is knowledge about natural objects and phenomena; the 'artificial' on the other hand, was about objects and phenomena invented by humans" (Simon, 1996). Humans he said operate in the artificial world 'to

¹⁴ The 'transactional' relationship of a reflective thinker with a situation by being 'in conversation with it' was first mentioned by Dewey in 1933 in his book- 'How we Think'. Schön's PhD thesis is based on Dewey's theory of enquiry confirming the lineage of 'reflective practitioner'.

achieve their own goals’. The boundaries between the artificial and the natural need to be both recognized and honoured. Now, turning our attention to design, we know that designers are by and large creators of the artificial world. But designers often do not recognize or honour the boundary between artificial and natural.

Margolin takes a contemporary view on the natural and artificial. He says that today there are many “who are heavily invested in the artificial; and the artificial needs to be rethought (Margolin V. , 2002). The sustainability discourse has been examined to identify three epistemological entities for consideration of designers, as shown in figure.

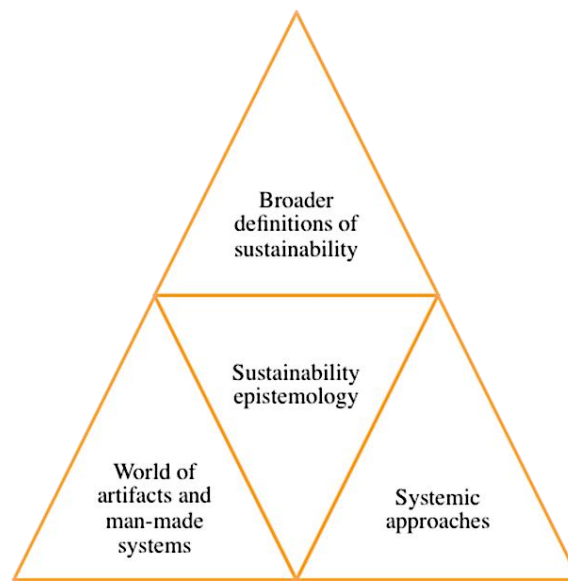


Figure 2.3 Three areas of Sustainability epistemology

2.2.2.1 Broader definitions of sustainability

Schumacher (Schumacher, 2011), an economist, addressed nature as ‘capital’ as any other. His argument was that if we put in concerted efforts to see that capital (money) is invested wisely and grows over time then why is it that we are so careless about nature as ‘capital’? Freer Spreckley first articulated the phrase "triple bottom line" (TBL) in 1981 in a publication called ‘Social Audit- A Management tool for cooperative working’ where one had to take into account social and environmental performance in addition to financial performance. This broader view of sustainability was adopted by every other discipline. A more enduring version of the same philosophy is oft quoted in the context of sustainability is-

Planet, People, Profit. This kind of cross-fertilization is not uncommon in sustainability discourse. ‘Green design’ is now ‘sustainable design’ thus showing ‘shifting attitudes’ (Madge, 1997). Even large coalitions like Agenda 21¹⁵ have very broad mandates for how design can contribute to sustainability (Margolin V. , 1998).

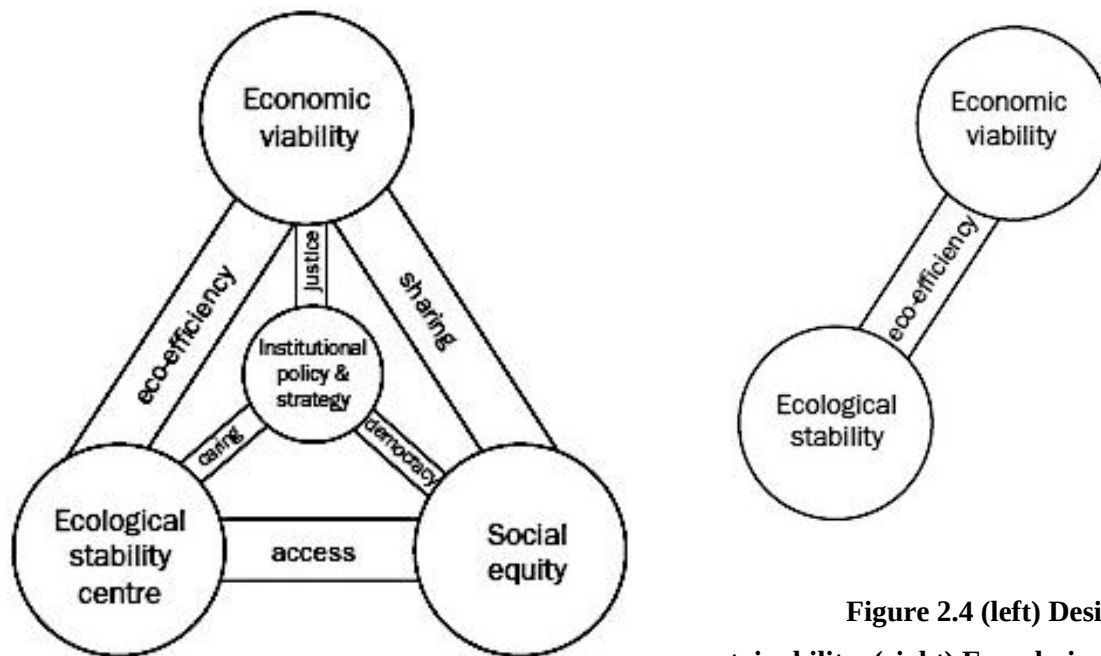


Figure 2.4 (left) Designing for sustainability, (right) Eco- design. Source- (Faud-Luke, 2013)

Sustainability in its very nature defies disciplinary straightjacketing because disciplinary thinking, by its very nature, is exclusory, and this has a limited ability to comprehend and engage the relational complexity of unsustainability (Fry, 2009). Texts on sustainability call for multidisciplinary or interdisciplinary approaches. Fry describes a meta-discipline that “facilitates an exchange of knowledge and dialogue” (Fry, 2009). And design supports this brand of thinking. In a study conducted to find how Kuhn’s disciplinary matrix could be mapped on design and non-design disciplines (Wang D. &, 2009), it was found that the elements in the case of design orient outwards towards general culture whereas non-design pointed inwards towards domain specific knowledge.

Clearly, we need a broader definition, understanding and canvas for sustainability. Even the expansion provided by Triple Bottom Line (TBL) might be limiting. As we proceed in the

¹⁵ ...quality of life, efficient use of natural resources, protecting global commons, managing human settlements, the use of chemicals and the management of human and industrial waste, and fostering sustainable economic growth on a global scale

thesis with the definition of sustainability as ‘conserving resources’, the resources can be of abstract nature like time, knowledge and learning

2.2.2.2 World of Artifacts and man-made systems

Early literature review made a distinction between consumption and consumerism. Consumption is the act of using things to fulfill fundamental human needs. Consumerism is the social system, which exists when the act (of consumption) itself defines the societal goals.

The discussion of this domain takes into its fold, arguments from the areas of material culture, sociology, anthropology and sustainable consumption. Material culture is the study of [material or] artifacts [for] values, ideas, attitudes and assumptions of society (Clarke, 2009). The study of material culture connects directly with design, as this is the milieu in which design is situated. In fact designers are largely responsible for shaping this milieu and people’s responses within it. Saying which, the anthropologist’s view is also relevant that, an ‘object-centered’ consciousness has deep ideological roots. Its origins seem to lie in the universal association of manhood with material processions. In addition to well-known images of manliness like physical strength, toughness and aggression, [Anthropologist David Gilmore] found that in culture after culture, “real” men have traditionally been those who produce more than they consume (Capra, 2004)

Nigel Whitley spent considerable time in ‘Design For Society’ comparing the evils of consumer-led design that testifies to private affluence on a substantial scale... Thus individualism rather than individuality pervades our consumerist society. (Stairs, 2005).

Today most people encounter design through consumption, either by purchasing designed commodities, by consuming design through signs used in advertising and other forms of mediation, or simply as users (Clarke, 2009; Marchand, 2008).

Belief has it that consumption can be titrated and balanced with sustainability. This has opened an entire discourse on sustainable consumption.

It is this unique position of design as the interface between consumers and the activities of consumption, which firmly establishes its potential to influence the environmental and social impact of products and services and hence, to contribute towards goals of sustainable development (Fletcher K. D., 2001).

2.2.2.3 Systemic approaches

The ‘cradle-to-grave’ model of production and consumption was being followed since the Industrial revolution. This was linear in nature. Sustainability demands a cyclic model. ‘Cradle to cradle’ (McDonnough, 2010) was devised in response to this demand, where a product’s life cycle comes back to where it began. This is now popularly known as recycling, up cycling and down cycling.

These measures however have limited application when the situation gets complex. This is because “complexity stems from the nature of problems. They rarely present themselves individually, but come related to other problems, in richly interconnected problem situations. As a result, once you examine them, problems seem to get bigger and to involve more issues and stakeholders” (Jackson, 2003).

Systemic approaches in the domain of sustainability are very essential due to the consequences our acts have on society and environment. As Tatum describes, “Designers also need a grasp of the profound ‘consequentiality’ of their work. Not only are the possibilities almost limitless, the choices we make among those possibilities carry profound and far-reaching implications for how we will live” (Tatum, 2004).

The two most fundamental works that argued for systemic theories are- ‘Autopoiesis and Cognition’ (Maturana, 1991) and ‘Limits to Growth’ (Meadows D. M., 1972).

Professor Humberto Maturana and his colleague Francisco Varela undertook the construction of systemic theoretical biology in their book ‘Autopoiesis and Cognition’ in 1978. They claimed that “if living systems are machines, that they are physical autopoietic machines, is trivially obvious: they transform matter into themselves in a manner such that the product of their operation is their own organization.”

The other is the report- Limits to Growth, which was an outcome of the initial meetings of the Club of Rome. They undertook an ambitious task of examining the complex problems troubling men of all nations. The report documented that, “The basis of the method is the recognition that the structure of any system- the many circular, interlocking, sometimes time-delayed relationships among its components- is often just as important in determining its behavior as the individual components themselves. The world model described in this book is a System Dynamics model.

But one can sense a change in the design community. A new logical structure that is now emerging as the structure of design process is:

1. Instead of a problem, we have a state A of a system.
2. Instead of a solution, we have a state B of a system.
3. The designer and the user are part of the system (stakeholders)

This shift in design thinking adapts itself very well to the sustainability discourse. The new systems approach urges designers to work within a system and design solutions to be ‘situated’ within the system. This structure also suggests that the designed state B need not be an artifact, which paints future scenarios of immateriality.

2.3 Literature on pedagogic approaches of integrating Design and sustainability

The theoretical discussion in the preceding sections still leaves the pedagogic implications to be discovered. Clarity of how the instructor will deliver and the student will learn is very important. What designers know about their own problem-solving processes remains largely tacit knowledge- i.e. they know it in the same way that a skilled person ‘knows’ how to perform that skill. Cross emphasizes this when he says, “but teachers of design have a responsibility to be as articulate as they possibly can about what it is they are trying to teach, or else they have no basis for choosing the content and methods of their teaching” (Cross N. , 2006).

Literature on pedagogic approaches of integrating Design and sustainability and the challenges of doing so, are explored in this section. Table of different perspectives, challenges and insights derived from design and sustainability education and teaching.

Table 2.2 Design and Sustainability education perspectives

Author	Description
(Dewberry E. , 2011)	1. Current curricula tend to be discipline based. Sustainable development on the other hand is a trans-disciplinary subject and requires a reflective and iterative approach to see emerging discipline links. This enables learners to

	contextualize the subject and to understand the perspective and scope of specific themes; 2. The concept of responsive, dynamic and process learning to enable a 'real' understanding of sustainable development poses a conflict with the established, pre-defined learning outcomes currently used to measure student performance; and 3. Sustainable development has been described as being too abstract. To avoid such claims it is important to promote an educational philosophy that connects everyday living to the more generic policy objectives. This is encouraged through local ownership of learning - both content and process - and is something that can't be governed 'centrally' through rigidly defined curricula content and outcomes.
(Knight, 2009)	Many designers have found the idea of sustainable design 'too hard', both emotionally and on a pragmatic level. Repeatedly telling people about the dangers of carbon and other greenhouse gases leading to climate change either bores them or frightens them so much they are unable to act, they feel powerless.
(Betrabet Gulwadi, 2009)	The body of knowledge acquired by a design student should not only represent factual information, but also a way of thinking and reasoning that can be applied to future problem-shaping and problem-solving processes – that is, a way of activating the knowledge-in-waiting (theoretical and practical base) and transforming it to knowledge-in-use (what is being applied to situation-at-hand).
(Scholz, 2006)	Case studies, and in particular trans-disciplinary case study (TCS), are a powerful tool for teaching and research on complex

	environmental problems to conduct individual, organizational, and societal sustainability learning.
(Fletcher K. &, 2002)	1. While information on design for Sustainability does exist, it is in general, abstract, limited, dispersed and not in a language accessible to designers. 2. While the target audience for the demi project through the funded period was the design populace, both staff and students (from across the full range of courses including product design engineering and fashion and textiles), its potential audience is the design community at large.
(Walker, 2008), (Unaka, 2014)	Utilizing design charrette for teaching sustainability
(Trebilcock & Ford, 2006)	The evidence suggests that the integration of sustainability at early stages of design process guided by knowledge and experience in the form of precedents; while later stages of the process are supported by analysis tools; from simple methods to sophisticated tools.
(Trebilcock M. , 2009)	The integration of environmental sustainability issues in the design process does not seem to alter the C/A nature of the design process, but it actually seems to reinforce it.
(Ramirez, 2007)	Although academics consider sustainability as a topic that design students need to be exposed to, the classic design assessment criteria – aesthetics, functionality, ergonomics, manufacturability, etc. – are still deemed to be the overriding determinants of what constitutes good design, and impacts to society or to the environment do not hold the same significance.

(Clune, 2009)	Focus on technical design skills, without adequately defining the problem of unsustainability, negates effective improvements required to move towards sustainability.
(Boyle, 2004) (Sprain, 2012)	Boyle identified six key problems of incorporating sustainability into Engineering education: lack of textbook, lack of examples, lack of time, and knowledge of sustainability by staff and maturity of students. This holds true for design education too.
(Sprain, 2012)	Case-based approaches are particularly well suited to teach about sustainability and to build capacity to address the challenge of tackling wicked sustainability problems.
(Manzini E. &, 2003)	When we view things from the sustainability perspective, this need to reinvent the everyday increases enormously and the most elementary functions of daily experience appear as questions that are not easy to answer.

The literature on design and sustainability compiled and reviewed above served two important purposes-

Firstly, the theoretical understanding is gained through reviewing literature related with theories and approaches in design and sustainability, thus providing synthesized coherence (Locke, 1997) to the literature presented.

Secondly, the literature helped in problematizing the situation (Locke, 1997). The existing literature was ‘incomplete’ and ‘inadequate’. How can designers know sustainability such that they can design appropriately; is seldom discussed. Through sustainability literature, one has tried to build an epistemological construct for the thesis. Also, the literature on ‘conjecture’ in the context of design is limited. The available literature presented, raised broad research questions that have been framed in table 2.3.

2.4 Research clarification (Broad questions)

Table 2.3 Broad research questions

Research problems	1. Designerly ways and means of integrating sustainability into design process are not adopted in design pedagogy.	2. Sustainability pedagogy either gets too specific in teaching particular techniques to particular problems or the issue gets sidelined completely in the entire design process.
What I need to know (Research questions)	1. How can the relationship between ontology and epistemology be understood better in design pedagogy?	2. How can sustainability approaches be imparted through design process in design pedagogy?
Why I need to know this?	1. If ontological features of design integrate sustainability epistemology, there are greater chances of sustainability becoming the meta-objective of design process.	
Proposition/ Hypothesis	1. A suitable designerly method can be identified which effectively incorporates sustainability knowledge in design process.	2. It is possible to find explicit links between ontology and epistemology.

2.5 Conceptual framework

Conjectured thinking further articulates problem solving in design. Karl Popper was the first to propose the theory of conjecture, where conjecture is understood as a possible solution to a problem. In fact, Cross (Cross N. , 2006) situates abductive reasoning within the logic of conjecture.

Thomas Kuhn, a scientific philosopher, coined the term exemplar. He said, “By it I mean, initially, the concrete problem-solutions that student encounter from the start of their scientific education, whether in laboratories, on examinations or at the ends of chapters in science texts” (Kuhn, 1996). Elsewhere in his discourse Kuhn has described exemplars as ‘shared examples’ or ‘symbolic generalizations’. Schön (Schön D. A., 1983) adapted it to design by equating exemplars to designers' way of functioning, “where designers bring prior knowledge to bear upon new situations”, that the designer is faced with.

Cross’s argument was that while scientists are ‘problem’ focused, designers are more ‘solution’ focused. And therefore conjectured thinking becomes more relevant. Literature on previous research tells us that case-based approach and precedent-based approaches have been used to aid design process. But this thesis argues that there are additionally other types of conjecture as well.

Akin developed a case tool for Case based Instruction (CBI) called Electronic Design Assistance Tool (EDAT). He found out that cases are not full and complete descriptions of earlier designs. In fact, designers retrieve earlier cases for considering relatively narrow design features rather than wholesale information (Akin, 2002). We take this insight of Akin to support our argument that case or precedents cannot be taken as the only conjectures. Designers use more types of conjectures and they should be articulated in a model for it to have greater effectiveness.

1. The role of the conjectured solution is a way of gaining understanding of design problem (Cross N. , 2006).
2. Keeps problem contained within manageable bounds (Hillier B. &., 1991) as in (Cross N. , 2006).
3. An early idea a designer ‘holds on to’ is a ‘primary generator’ (Darke, 1979). This plays a positive role of keeping the problem within manageable limits.

4. Conjecture is the 'extra ingredient' to knowledge, which helps solve design problems (P.H, 1966) as in (Cross N. , 2006).
5. It is the 'ordering principle' in problem solving (P.H., 1966) as in (Cross N. , 2006).
6. Variety reduction occurs early in design process with conjecture or conceptualization of a possible solution (Cross N. , 2006).
7. Design is essentially a matter of pre-structuring problems (Hillier B. &, 1991).
8. As with science, it is not a matter of whether the problem is pre-structured but how it is pre-structured, and whether the designer is prepared to make this pre-structuring the object of his critical attention (Hillier B. M., 1972).
9. There is a set of 'internal variety reducers' and these are an expression of the designer's cognitive map. This cognitive map acts as a kind of plan for finding a route through problem material that would otherwise appear undifferentiated and amorphous (Hillier B. &, 1991).
10. In the context of design, classifications and code formalizations would not be deterministic, but would constitute an extension of the designer's basic cognitive capability, and provide him with a position of strength from which to make his conjectures (Hillier B. &, 1991).

2.5.1 C/A vs A/S, the two paradigms

As mentioned earlier, in the early years when design was trying to establish itself as a discipline, it borrowed from the established discipline of science. The decade of the 60s was hailed as the decade of design science. Early methodologists got busy theorizing on the cognitive and pragmatic aspects of design based on scientific reasoning. Design methods embraced the analysis/synthesis approach, which had its roots in the positivist tradition. But A/S came under fire and C/A was pronounced as a more suitable method for design. Table 2.4 compiles the arguments, which underlie the two paradigms.

Table 2.4 Comparison between Analysis/Synthesis and Conjecture/Analysis design methods. Compiled from (Hillier B. M., 1972) and (Bamford, 2002; Thorndike, 1931)

	Analysis/Synthesis	Conjecture/Analysis
Core argument	Problem solving involves ‘decomposing’ problems and ‘piecing together’ solutions.	Essentially a matter of pre-structuring problems either by a knowledge of solution types or by a knowledge of the latencies of the instrumental set, in relation to the solution types.
Dealing with the ‘problem’	Problem will be understood by collecting ‘observed’ and ‘recorded’ facts, without selection or a priori guess as to their relative importance.	To help structure an understanding of the problem, and to test its resistances, one must conjecture approximate solutions much earlier in the process.
The ‘solution’	Synthesis is a process by which pieces of a puzzle gradually come together, and so a solution is typically visible only towards the end.	Without a solution-in-principle at some intermediate stage a ‘vast variety’ of design decisions cannot be taken.
‘Fit’ with the ontology	In the four key stages- Briefing, analysis, synthesis and evaluation; creative thinking is relegated to stage four in evaluation. This is a dis-analogy with design.	‘Design development’ is thus constructed by solution-in-principle.

The A/S model is mostly prescriptive and can be placed in the realm of design methodology, while the C/A model is mostly descriptive and can be placed in the realm of design theory (Trebilcock M. , 2009)

2.5.2 Research clarification

We now need to take stock of the conceptual framework discussed thus far in order to formulate the focused research questions. Table 2.5 summarizes the research clarifications.

Table 2.5 Research clarification

Research problems	1. C/A is not used in a structured manner in design pedagogy	2. Conjectures are not available in usable form, therefore cannot be applied in current projects	3. There is negligible empirical work done in the area of applying C/A to sustainable pedagogy
What I need to know (Research questions)	1. Is C/A an appropriate base model to build a new representation of C/A for sustainability in design pedagogy?	2. What are the relevant conjecture-typologies that come into play while designing?	3. What are the ways in which these typologies can be used for developing a model representation of C/A for sustainability?
Why I need to know this?	1. The use of conjectures is ad-hoc. It has not been systematized as a visual representation of a	2. Conjectures are an effective tool for decision making in design process and this can be leveraged as a pedagogic tool to	3. Use of C/A approach to integrate sustainability pedagogy offers great potential and

	model. This is a gap that needs to be addressed.	integrate sustainability in student design projects.	this potential is not been fully tapped.
Proposition/ Hypothesis	1. C/A is the appropriate base model of sustainability in design.	2. Conjecture-typologies are the epistemic units of the C/A model for sustainability.	3. Conjectures are the link between ontology and epistemology.
What kind of data will answer the question	1. Recording of design studio proceedings by other instructors.	2. Recording of design charrettes/workshops conducted by researcher as instructor	3. Relevant literature in the area of design theories, methodologies and design thinking.
Where will I find the data (Data source)	1. Design studios/classes	2. Student design projects which includes the discussions amongst students, with instructor, drawings, presentations made by students	3. Didactic delivery by instructors in terms of presentations, desk crit (critique of student work), showing examples of previous designs in terms of samples, documents or field visits

In what way or manner will I find the data (data collection methods)	1. Action research will be the methodology used. Several inductive studies will be conducted in various pedagogic situations to find insights. The insights from one study can be used to plan and improve the next.	2. Design experiments conducted in pedagogic situations.	
How will the data answer the questions (data analysis methods)	1. Content will be analysed to find precedents and nature of precedents.	2. Drawing up a relationship matrix for ontology, epistemology and conjectures.	
Research contribution	1. Visual representation of Conjecture-analysis model for sustainability (CAMS)	2. Demonstrating how conjectures form the link between ontology and epistemology.	3. Identifying five typologies of conjectures.
Validity	1. Action research is carried out in various modes. Participant, non-participant, teacher-as-researcher etc. have been used. It has been conducted in convergent and divergent modes.	2. Since it is a theory testing approach. The validity is in the theory working rather than the final solution.	3. The insights from each stage of action research are used to refine the model. The iterations add to the validity.

Generalizability	1. The pedagogic model is not restricted in its applicability. It can use information from a domain outside design and produce input in any area of design such as graphic design, product design, fashion design etc.	2. The alternative solutions and sub-solutions can be used again in another context.	
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2.5.3 Focused research questions

1. Is Conjecture/Analysis an appropriate base model to build a new representation of Conjecture/Analysis for sustainability?
2. What are the relevant conjecture-typologies, which come into play while designing?
3. What are the ways in which these typologies can be used, for developing a model representation of Conjecture/Analysis for sustainability?

2.6 Methodological framework

The Methodological framework approach has been given a different treatment than ‘Methodology and Research Design’ of chapter 3. The methodological framework is broader in its intent. It looks at how theoretical and conceptual frameworks come together to answer the research questions. It also describes the methodological approach of enlarging an existing model. There are three main ideas guiding the methodological framework.

1. Top-down/Bottom-up approach, Figure 2.5
2. Enlarging the original theory, Figure 2.6
3. Generative theories

2.6.1 Top-down and Bottom-up approaches

The top-down approach is through literature. Theories and concepts of design and sustainability discourses have been reviewed. This has been discussed in section 2.2 of theoretical framework. Three aspects each have been laid out for each as below.

Design Ontology

- a. Logic of reasoning- Abductive
- b. Nature of the problem- wicked
- c. Design behavior- reflective

Sustainability epistemology

- a. Broader definitions of sustainability
- b. World of artifacts and man-made things
- c. Systemic approaches

The bottom-up approach is to conduct empirical studies to identify conjectures in pedagogy.

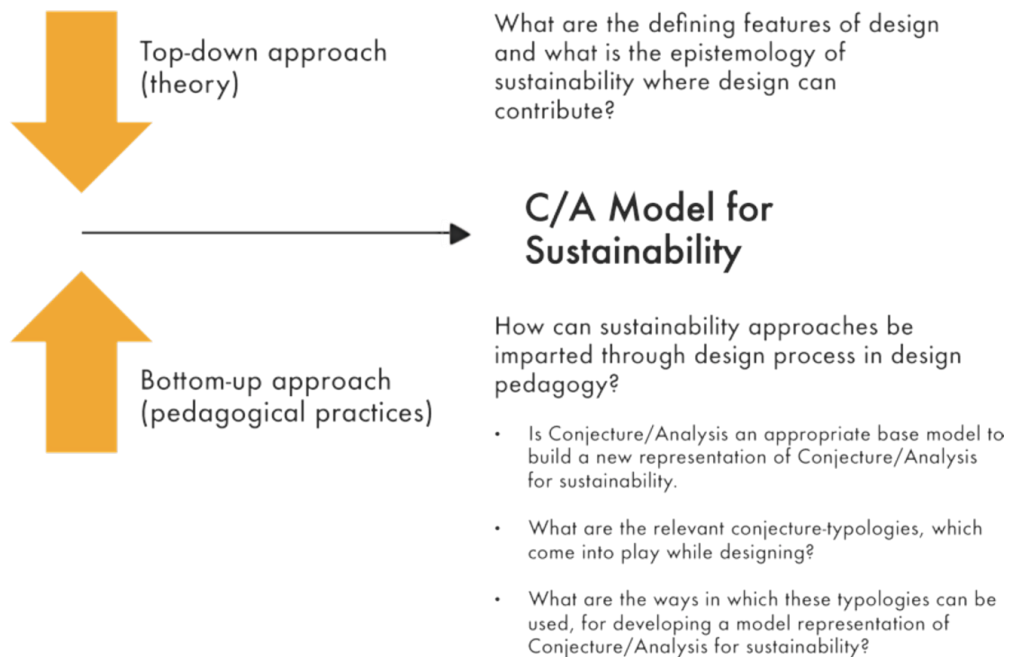


Figure 2.5 Top-down and bottom-up approaches

2.6.2 Enlarging the original theory

Since C/A starts with ideas that can be quickly tested against constraints and is inclined towards theory building, C/A has been identified as the original theory to be enlarged.

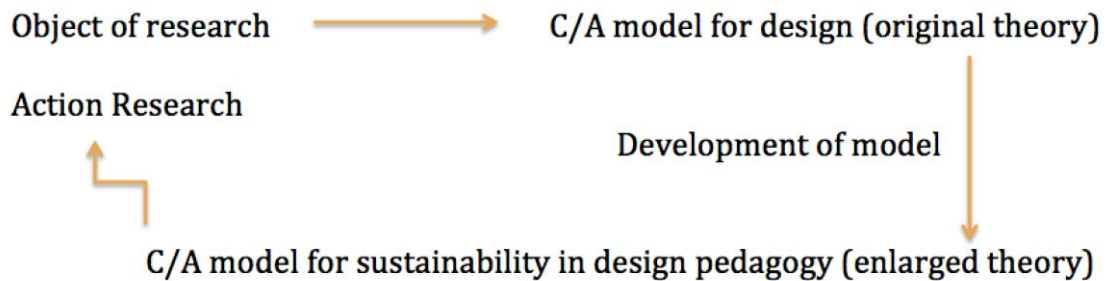


Figure 2.6 Enlarging the original theory

2.6.3 Generative theories

Finally we deal with an important aspect of design research, which is creating generative theories. We borrow from two main arguments. One is Jonas (Jonas, 2001), who says that research in design builds small theories, which are like ‘learning pathologies’. This is undesirable. What should be done instead is create generative theories, which help in designing practice and design education.

The other argument for generative theories comes from Stolterman (Nelson H.G & Stolterman, 2003), where the concept of ‘Ultimate particular’ has been described. It says, design research should be fed with the result of action, taken through judgment and formed by intention not with abstract reasoning and logic.

It is with this in mind that the empirical method chosen to enlarge the original C/A model to CAMS is Action Research. Action Research is used to build theories and hence called ‘theory in action’. This has been explained in Chapter 3

2.6.4 Summary of research questions

1. What are the defining features of design and what is the epistemology of sustainability where design can contribute?

2. How can sustainability approaches be imparted through design process in design pedagogy?
 - 2a. Is Conjecture/Analysis an appropriate base model to build a new representation of Conjecture/Analysis for sustainability?
 - 2b. What are the relevant conjecture-typologies, which come into play while designing?
 - 2c. What are the ways in which these typologies can be used, for developing a model representation of Conjecture/Analysis for sustainability?

2.7 Chapter summary

This chapter unravels the literature in the two broad domains of Design and Sustainability. A theoretical framework is formulated by using the defining features of design and sustainability. Conjecture is found to be the most suitable element that could form a link between design and sustainability. And as a corollary, conjecture/analysis is the most suitable base theory to be used. Through the review of literature it was found that C/A offered the scope of enlarging into a model for sustainability. This had not been done earlier hence revealing the gap in literature. The conceptual framework clarifies the various research components. The research questions are framed as a part of conceptualizing the research study. The way the questions are arranged top-down and a bottom-up approach yields the Conjecture Analysis model for sustainability. Action research has been used as the methodology for the empirical work. Theories are being tested and understood in the entire process; therefore the action research may also be called theory-in action.

To summarize, therefore the literature establishes that conjectures are an effective tool for decision-making in design process and this can be leveraged as a pedagogic tool to integrate sustainability conjectures in student design projects.

Chapter 3

Methodology and Research design

3.1 Chapter introduction

It has been discussed earlier that efforts were made by designers to beat a problem into orderly shape so that it could be dealt with like a problem in natural sciences. But now there is acceptance that design problems are ‘wicked’ (Rittel H. W., 1973). Buchanan (Buchanan R. , 1992) probed into what makes design problems indeterminate and he found that this is because there is no particular subject of design and it is whatever the designer conceives it to be. If we compare how subject matters are dealt with in design and non-design disciplines, we find that design ‘orients itself out towards general culture’ whereas non-design disciplines ‘orient inwards towards domain-specific knowledge’ (Wang D. , 2009).

With this background we can appreciate that design research is also faced with challenges due to uniqueness of the domain of design. Design research is a fairly new entrant into the area of formal research. Therefore there are no ‘designerly’ research methods. This is the reason why, design researchers find themselves borrowing from other domains and

adapting it as their own. It might be recalled that the subject matter of the thesis is also to find a ‘designerly’ method for sustainability in pedagogy. Interestingly, the community of designers finds itself at crossroads to find domain specific tools for both the content of the research as well as the method to carry it out. Choosing a method for the research study was a challenging one.

One way to engage with a research project is to determine a paradigmatic stance and methodological approach, beforehand, but as Ben Mathews (Mathews, 2004) cf (Heape, 2007) states,

“One of the greatest difficulties in approaching a field such as design is that because it spans, traverses, invades, borrows or relies on a number of different fields, many methodological issues are contested and contestable.”

An alternative and more ‘practical’ approach is to allow the methodological considerations and the range of methods one uses to be determined by the question one poses with regard to the research project in hand and as Julia Brannen (Brannen, 2005) cf (Heape, 2007) suggests:

“We are likely in many research projects to ask a number of questions each of which may have different methodological implications. The kinds of questions we pose lead therefore not only to the choice of method, but increasingly commonly, to a complex of methods.” It has led to a nested methodology for this research project as well. This is described in detail in section 3.3.

3.2 Methodological implications based on research questions raised

We will also base our methodology and methods on the research questions posed (section 2.6.4). The first question is about the 'defining features of design' and the 'knowledge of sustainability which is relevant to designers', in other words what are the ontological and epistemological issues of design and sustainability, and can they be integrated? This question has been resolved through sifting through the domain literature of design and sustainability and identifying factors, which form the ‘web of meaning’ for the tentative model. Bryman has listed several ways of doing and using literature review. Here, in this thesis, literature has been actively used as a part of the methodology. The ‘situation is problematized’ (Bryman.A.,

2008) through literature. Conjecture was found to be useful to link the ontological and epistemological elements together. Also C/A was found to be the original theory that needed to be expanded.

The second research question asks if sustainability approaches can be imparted through design process in design pedagogy. It has been hypothesized that this can be done through an appropriate model. In order to build the final model we start with a tentative model, which is based on existing theories. The existing theory chosen is the C/A approach. Further, a more focused second question, questions the appropriateness of the C/A model. The comparison of the two main theories in design A/S and C/A, have been discussed at great length in literature review. The greatest gap here is that C/A theory is not represented visually. So the study proceeds by enlarging the earlier model (a conceptual/descriptive C/A model in this case). It starts from what is known and then proceeds by enlarging the mapped area and connect the new intelligence to the known facts. The existence of the tentative model helps in selecting the logical structure of the entire research project and planning it. The model helps to decide which material has to be collected.

This model is a working hypothesis. During analysis the model is validated, by seeing whether the collected material conforms to the model or not. This leads us to deliberate on what kind of material needs to be collected to build the model. Since the study and the application are going to be in design pedagogy, we will look at the design studio as the research site. The revisions have to be made based on empirical observations. These empirical observations have to emerge out of observation of students' experience of design process. And these observations have to be done stage-wise. Action research is a popular method in educational research. It suits our purpose very well. The material is collected through action research and necessary correction to the model is made after each stage of action research.

Further, there are two more focused questions. The first aims at finding relevant conjecture-typologies, which come into play while designing and the other aims to find ways in which these typologies can be used, for developing a model representation of Conjecture/Analysis for sustainability. This also calls for empirical work with design students and observation of their design activity; which is well achieved through action research in the pedagogic environment.

The whole exercise of building a model starts with existing theories and progresses with testing the theories in the field. Therefore the action research is better described as theory-in-

action. Also the theory testing of the nature described cannot be achieved through a conventional experimental setup but requires an appropriate method such as ‘design experiment’. Design experiments ideally result in greater understanding of a learning ecology- a complex, interacting system involving multiple elements of different types and levels-by designing its elements and by anticipating how these elements function together to support learning (Cobb, 2003).

In summary, when we look for methodological implications as a response to the research questions raised, it points us towards a nested methodology. It is not the choice of one method but a group of methods, which compliment one another. Detailed description of the nested methodology follows in the next section.

3.3 Nested methodology

The empirical methods have been divided into a nested methodology, which consists of

- 1. Ideology critique or Critical pedagogy.** It sets the vision for action research. This is an important first step in action research where an existing condition is understood and interpreted.
- 2. Action research.** The overarching methodology and in many ways the overall philosophy of the thesis. The various stages help in gaining insights, which are matched with the model, and revisions are made as and wherever necessary.
- 3. Design experiments.** This is best suited to the in-vivo observation cycles of action research of the teacher-as-researcher. The purpose is to gain insight into the complex learning ecology. This is in contrast to the conventional experiment method where some factors are separated under controlled conditions and are tested.
- 4. Data collection and analysis.** These are the instruments of data collection and analysis within the design experiments.

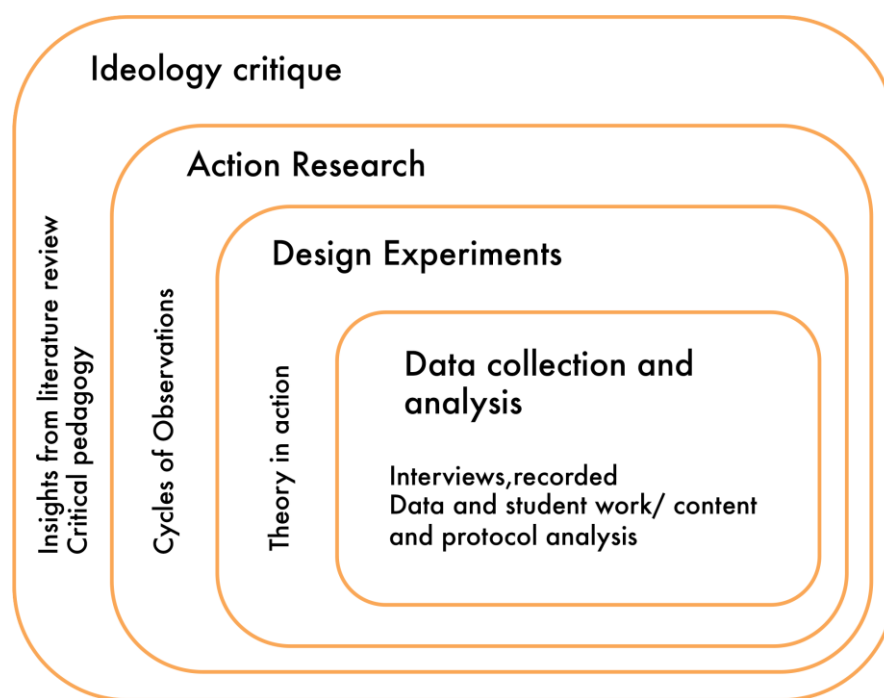


Figure 3.1 Nested methodology

3.3.1 Ideology critique

An attitude of critical pedagogy was maintained throughout the research. Critical pedagogy goes hand-in-hand with the overarching methodology for the research, which is Action research.

In critical pedagogy the argument is advanced that educators must work with, and on, the lived experience that students bring to the pedagogical encounter (Cohen, 2013). The critique recorded in this thesis emanates from two sources. One is the teaching experience of the author where several issues were brought out by fellow instructors and the students themselves. The second source is the observation of another instructor's design studio, which gave insights into how the conjectures are used in design pedagogy and how students are using it in the design activity.

Habermas (Habermas, 1985) cf (Cohen, 2013) suggests that ideology critique through reflective practice can be addressed in four stages:

Stage1: A description and interpretation of the existing situation

Stage 2: A presentation of the reasons that brought the existing situation to the form that it takes.

Stage 3: An agenda for altering the situation.

Stage 4: An evaluation of the achievement in practice.

The steps above were followed and are reported in chapter 4. A point to be noted here is that Stage 3 as described by Habermas has been interpreted as strengthening the situation rather than altering it altogether.

Critical view of pedagogy revealed the following areas, which need attention.

1. Students are unable to bridge the domains of design and sustainability as they see them as separate.
2. They find the sustainability inputs very abstract, which cannot be applied to their projects.
3. The students are not able to recall the didactic input at the time of application into their projects.
4. Instructors do not have access to designerly techniques with which to teach sustainability.
5. Large global issues are discussed in explanation of Sustainability. The picture is painted as bleak. A more positive and objective outlook is required.
6. Students feel that sustainability is a dry and technical subject and there is no room for creativity in it.
7. Special courses are designed to deal with sustainability; therefore it is viewed as an exclusive area by itself. This discourages sustainability to be integrated as a meta-objective of any design project.
8. Design for sustainability looked at very narrowly and not holistically.

All the points are discussed in detail in chapter 4.

3.3.2 Relevance of Action Research

Stenhouse (Stenhouse, 1980) suggests that action research should contribute not only to practice, but also, to a theory of education and teaching which is accessible to other teachers. Action research is conducted as a series of design experiments. These design experiments

have used a step-by-step actionable theory building exercise. They contribute to structuring the Conjecture Analysis model for Sustainability (CAMS).

In order to answer the research questions, the methodology had to involve gathering 'real' data from actual practice of researcher. Further, it involves developing, implementing, monitoring, reviewing and intervention cf (Newby.P., 2010), as in (Cohen, 2013). Another consideration for choice of methodology was that the intervention had to be applicable in educational research. Considering the above, action research was chosen as the overarching methodology. Action research starts with small cycles of planning, acting, observing and reflecting which can help define issues, ideas and assumptions more clearly (Cohen, 2013). The author is in a unique position to implement these cycles in pedagogy. It can also be further extrapolated to 'gradually include more of those involved and affected by the practices in question' (Cohen, 2013).

All educators do some form of action research in the regular course of their teaching, but the crux of the matter is the rigour with which one applies oneself.

Action research involves keeping a personal journal in which we record our progress and our reflections about two parallel sets of learnings: our learnings about the practices we are studying... ..and our learnings about the process (the practice) of studying them (Cohen, 2013).

Critical pedagogy (or ideology critique) goes hand in hand with Action research, because without a constructive criticism of the present practices it is not possible to improve for the future.

In the world of education Haberman's stages are paralleled by Smyth (Smyth, 1989) as in (Cohen, 2013) who, too, denotes a four-stage process: description (what am I doing?); information (what does it mean?); confrontation (how did I come to be like this?); and reconstruction (how might I do things differently?). It can be seen that ideology critique has both a reflective, theoretical and a practical side to it. Without reflection it is hollow and without practice it is empty.

The fundamental aim of action research is to improve practice rather than to produce knowledge. The production and initialization of knowledge is subordinate to and conditioned by, this fundamental aim.

Action research is not a one-step process but a multi-step process, which are also called research cycles. The cycles are of two kinds: Convergent and Divergent. Elliot (Elliot, 1991) explains:

1. **Research cycling.** [It] involves cycling between action and reflection [and] developing different ideas. The whole research topic, or different aspects of it; singly and in combination, are taken round several cycles, then experiential and reflective forms of knowing progressively refine each other.
2. **Divergence and convergence.** Research cycling can be convergent, in which case the researchers look several times at the same issue, maybe looking each time in more detail; or it can be divergent, as researchers decide to look at different issues on successive cycles. Many variations of convergence and divergence are possible in the course of an inquiry. It is up to each [researcher] to determine the appropriate balance for their work. The following figure (Figure 3.2) shows the convergent and divergent cycles of action research for this research study.

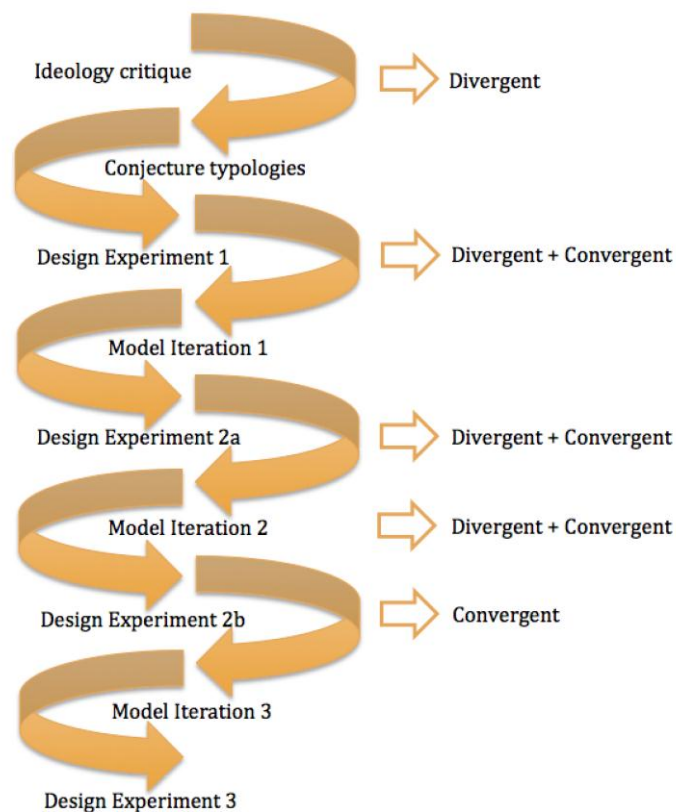


Figure 3.2 Action Research process

3.3.2.1 Theory in action

Action research is not being followed to measure a phenomenon but to prove that a theory works. The CAMS model is based on several theories that form a web of meaning. Each model is a demonstration of how the theory works. Moreover this gives the opportunity to design teachers to theorize in practice. Elliot (Elliot, 1991) says, "teachers often feel threatened by 'theory' [Looking 'through the eyes' of practicing teachers]. Theory is something they cannot apply or use in relation to their practice.

1. First, teachers feel 'theory' is threatening because it is produced by a group of outsiders who claim to be experts at generating valid knowledge about educational practices. To bow to a 'theory' is to deny the validity of one's own experience-based professional craft knowledge.
2. Second, theory is often 'generalizations of teachers practices'. Generalization constitutes the denial of the individual practitioners' everyday. [It] will contradict their experience of themselves as sources of expert knowledge.
3. Third, feelings of threat are further enhanced by the researcher's employment of models of practice derived from some ideal of society, like social inequalities and injustices, a fostering of narrow and limited conceptions of human potentials and abilities.
4. Therefore validation happens incrementally with each cycle rather than a conclusion to the whole study. This is a process of theory in practice or theory in action."

We will follow the four steps of theory in action.

Sagor (2005:4) sets out a straightforward four-stage model of action research:

Stage 1: Clarify vision and targets

Stage 2: Articulate appropriate theory.

Stage 3: Implement action and collect data.

Stage 4: Reflect on the data and plan informed action (Cohen, 2013)

3.3.3 Design Experiments

Design experiments have both a pragmatic bent of 'engineering' particular forms of learning and a theoretical orientation of developing domain specific theories by systematically studying those forms of learning and the means of supporting them. The authors clarify what

